

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
28 February 2002 (28.02.2002)

PCT

(10) International Publication Number  
**WO 02/16374 A1**

- (51) International Patent Classification<sup>7</sup>: C07F 7/18, 17/00, C08F 10/00, 4/69
- (21) International Application Number: PCT/GB01/03757
- (22) International Filing Date: 21 August 2001 (21.08.2001)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data: 0020613.6 21 August 2000 (21.08.2000) GB
- (71) Applicant (for all designated States except US): **BOREALIS TECHNOLOGY OY** [FI/FI]; P.O. Box 330, FIN-06101 Porvoo (FI).
- (71) Applicant (for GB only): **CAMPBELL, Neil** [GB/GB]; Frank B. Dehn & Co., 179 Queen Victoria Street, London EC4V 4EL (GB).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): **ANDELL, Ove** [SE/FI]; Merikortitie 13 as. 407, FIN-00960 Helsinki (FI). **MAARANEN, Janne** [FI/FI]; Heisitie 1 B, FIN-04260 Kerava (FI). **HOIKKA, Jouni** [FI/FI]; Laaksonpohjantie 16, FIN-06650 Hamari (FI). **VANNE, Tiina** [FI/FI]; Pasuunakuja 2 C 20, Helsinki (FI). **RAUTIO, Soile** [FI/FI]; Lundinkatu 20 B 42, FIN-06100 Porvoo (FI).
- (81) Designated States (national): AE, AG, AL, AM, AT, AT (utility model), AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, CZ (utility model), DE, DE (utility model), DK, DK (utility model), DM, DZ, EC, EE, EE (utility model), ES, FI, FI (utility model), GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SK (utility model), SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).
- Published:  
— with international search report
- For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.



WO 02/16374 A1

(54) Title: CATALYSTS

(57) Abstract: The invention describes ligands of formula (I), wherein LIG represents an  $\eta^5$ -ligand substituted by a group R<sub>1</sub> and a group (R')<sub>m</sub>; X represents a 1 to 3 atom bridge; Y represents a nitrogen or phosphorus atom; Z represents a carbon, nitrogen or phosphorus atom.

- 1 -

## CATALYSTS

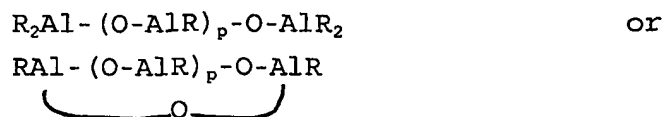
This invention relates to catalysts for olefin  
 5 polymerisation, in particular to catalyst compounds  
 containing metals  $\eta$ -bonded by  $\eta^5$ -ligands, e.g.  
 cyclopentadienyl ligands and  $\eta$  or  $\sigma$ -bonded by a bicyclic  
 nitrogen ligand, and their use in olefin polymerisation.

In olefin polymerization, it has long been known to  
 10 use as a catalyst system the combination of a  
 metallocene procatalyst and an alumoxane or boron based  
 co-catalyst.

By "metallocene" is here meant an  $\eta$ -ligand metal  
 complex, e.g. an "open sandwich" or "half sandwich"  
 15 compound in which the metal is complexed by a single  $\eta$ -  
 ligand, a "sandwich" compound in which the metal is  
 complexed by two or more  $\eta$ -ligands, a "handcuff"  
 compound" in which the metal is complexed by a bridged  
 bis- $\eta$ -ligand or a "scorpionate" compound in which the  
 20 metal is complexed by an  $\eta$ -ligand linked by a bridge to  
 a  $\sigma$ -ligand.

Metallocene procatalysts are generally used as part  
 of a catalyst system which also includes an ionic  
 cocatalyst or catalyst activator, for example, an  
 25 aluminoxane (e.g. methylaluminoxane (MAO),  
 hexaisobutylaluminoxane and tetraisobutylaluminoxane) or  
 a boron compound (e.g. a fluoroboron compound such as  
 triphenylpentafluoroboron or triphenylcarbenium  
 tetraphenylpentafluoroborate ((C<sub>6</sub>H<sub>5</sub>)<sub>3</sub>B<sup>+</sup>B<sup>-</sup>(C<sub>6</sub>F<sub>5</sub>)<sub>4</sub>))

30 Alumoxanes are compounds with alternating aluminium  
 and oxygen atoms generally compounds of formula



35

where each R, which may be the same or different, is a

- 2 -

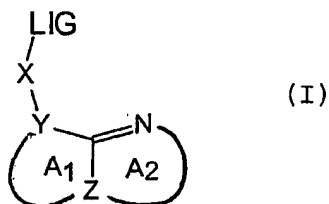
C<sub>1-10</sub> alkyl group, and p is an integer having a value between 0 and 40). These compounds may be prepared by reaction of an aluminium alkyl with water. The production and use of alumoxanes is described in the patent literature, especially the patent applications of Texas Alkyls, Albemarle, Ethyl, Phillips, Akzo Nobel, Exxon, Idemitsu Kosan, Witco, BASF and Mitsui.

Traditionally, the most widely used alumoxane is methylalumoxane (MAO), an alumoxane compound in which the R groups are methyls. MAO however is poorly characterised and relatively expensive and efforts have been made to use alumoxanes other than MAO. Thus, for example WO98/32775 (Borealis) proposes the use of metallocene procatalysts with alumoxanes in which R is a C<sub>2-10</sub> alkyl group, eg hexaisobutylalumoxane (HIBAO). However, such metallocenes generally have poor catalyst activities with non-MAO alumoxanes.

Since each polymerisation catalyst gives rise to polymer products with slightly differing properties, there remains an ongoing search for new and improved olefin polymerisation catalysts.

We have now surprisingly found that a single site procatalyst system based on a  $\eta^5$ -ligand, e.g. cyclopentadienyl type ligand and a  $\eta$  or  $\sigma$ -bonding bicyclic nitrogen ligand may be used very effectively in polymerisation catalysis, especially in the manufacture of polyethylene or polypropylene.

Thus viewed from one aspect the invention provides a compound of formula (I) comprising



35 wherein

LIG represents an  $\eta^5$ -ligand substituted by a group R<sub>1</sub> and a group (R'')<sub>m</sub>;

- 3 -

X represents a 1 to 3 atom bridge, optionally substituted, e.g. by R" groups;

Y represents a nitrogen or phosphorus atom;

Z represents a carbon, silicon, nitrogen or  
5 phosphorus atom;

the ring denoted by A<sub>1</sub> is an optionally substituted, optionally saturated or unsaturated 5 to 12 membered heterocyclic ring;

the ring denoted by A<sub>2</sub> is an optionally substituted,  
10 unsaturated 5 to 12 membered heterocyclic ring;

R<sub>1</sub> represents hydrogen, R" or a group OSiR'<sub>3</sub>;

each R', which may be the same or different is a R<sup>+</sup>, OR<sup>+</sup>, SR<sup>+</sup>, NR<sub>2</sub><sup>+</sup> or PR<sub>2</sub><sup>+</sup> group where each R<sup>+</sup> is a C<sub>1-16</sub> hydrocarbyl group, a tri-C<sub>1-8</sub>hydrocarbylsilyl group or a  
15 tri-C<sub>1-8</sub>hydrocarbylsiloxy group, preferably R' being a C<sub>1-12</sub> hydrocarbyl group, e.g. a C<sub>1-8</sub> alkyl or alkenyl group;

each R", which may be the same or different is a ring substituent which does not form a σ-bond to a metal  
20 η-bonded by the bicyclic ring, eg it may be hydrogen, R<sup>+</sup>, OR<sup>+</sup>, SR<sup>+</sup>, NR<sub>2</sub><sup>+</sup> or PR<sub>2</sub><sup>+</sup> group where each R<sup>+</sup> is a C<sub>1-16</sub> hydrocarbyl group, a tri-C<sub>1-8</sub> hydrocarbylsilyl group or a tri-C<sub>1-8</sub>hydrocarbylsiloxy group; and

m is zero or an integer between 1 and 3.

25 Viewed from a further aspect the invention provides an olefin polymerisation catalyst system comprising or produced by reaction of (1) a metallated compound as hereinbefore defined (from hereon called a procatalyst) and (2) a cocatalyst, e.g. an aluminium alkyl compound  
30 or boron compound, in particular an alumoxane, especially an aluminium alkyl compound comprising alkyl groups containing at least two carbon atoms.

Viewed from a still further aspect the invention provides a process for olefin polymerisation comprising  
35 polymerising an olefin in the presence of a catalyst system as hereinbefore described.

Viewed from a yet further aspect the invention

- 4 -

provides a process for the preparation of a procatalyst, said process comprising metallating with a group 3 to 7 transition metal a compound of formula (I)



10 wherein LIG, X, Y, Z and rings A<sub>1</sub> and A<sub>2</sub> are as hereinbefore defined.

Viewed from a further aspect the invention provides the use of a procatalyst as hereinbefore defined in olefin polymerization, especially ethylene or propylene polymerisation or copolymerisation.

15 Viewed from a yet further aspect the invention provides an olefin polymer produced by a polymerisation catalysed by a procatalyst compound as hereinbefore defined.

20 The compounds of formula (I) as hereinbefore described may be coupled with a metal from groups 3 to 7. By group 3 (etc) metal is meant a metal in group 3 of the Periodic Table of the Elements, namely Sc, Y, etc. It is preferable if the metal coupling the

25 compound of the invention is in the III<sup>+</sup> oxidation state, although metals in the II<sup>+</sup> and IV<sup>+</sup> oxidation states are also advantageous. The metal employed in the catalyst system of the invention is most preferably from groups 4, 5 or 6 of the periodic table, e.g. Cr, Mo, W, Ti, Zr, Hf, V, Nb or Ta. Most especially the metal is Cr or Ti,

30 e.g. Cr<sup>3+</sup> or Ti<sup>3+</sup>.

Where the metal is Cr, it has surprisingly been found that the catalyst system of the invention is capable of making polypropylene as a powder.

35 The group 3 to 7 metal in the metallated procatalyst of the invention coordinates to the  $\eta^5$ -ligand and  $\sigma$  or  $\eta$  bonds to certain atoms in the bicyclic

- 5 -

nitrogen ligand. Where the metal forms sigma bonds with the bicyclic nitrogen ligand, only atoms Z and N can coordinate to the metal. Thus, the metal may be coordinated only to atom X, only to N or to both the Z and N atoms. The Y atom is therefore not involved in coordination with the metal.

However, if an  $\eta$  ligand is formed between the metal and bicyclic nitrogen group then coordination to any double bond present in bicyclic nitrogen ligand is possible. Such  $\eta$  bonds may be  $\eta^2$  or  $\eta^3$  depending on the nature of the bicyclic nitrogen ligand. The metal may also be coordinated by hydrogen atoms, hydrocarbyl  $\sigma$ -ligands (eg optionally substituted  $C_{1-12}$  hydrocarbyl groups, such as  $C_{1-12}$  alkyl, alkenyl or alkynyl groups optionally substituted by fluorine and/or aryl (eg phenyl) groups), by silane groups (eg  $Si(CH_3)_3$ ), by halogen atoms (eg chlorine), by  $C_{1-8}$  hydrocarbylheteroatom groups, by tri- $C_{1-8}$ hydrocarbylsilyl groups, by bridged bis- $\sigma$ -liganding groups, by amine (eg  $N(CH_3)_2$ ) or imine (eg  $N=C$  or  $N=P$  groups, eg  $(iPr)_3P=N$ ) groups, or by other  $\sigma$ -ligands known for use in metallocene (pro)catalysts.

By a  $\sigma$ -ligand moiety is meant a group bonded to the metal at one or more places via a single atom, eg a hydrogen, halogen, silicon, carbon, oxygen, sulphur or nitrogen atom.

Examples of  $\sigma$ -ligands include

halogenides (e.g. chloride and fluoride),  
hydrogen,  
tri $C_{1-12}$  hydrocarbyl-silyl or -siloxy(e.g. trimethylsilyl),  
tri $C_{1-6}$  hydrocarbylphosphimido (e.g. triisopropylphosphimido),  
 $C_{1-12}$  hydrocarbyl or hydrocarbyloxy (e.g. methyl, ethyl, phenyl, benzyl and methoxy),  
di $C_{1-6}$  hydrocarbylamido (e.g. dimethylamido and

- 6 -

diethylamido), and  
5 to 7 ring membered heterocyclyl (eg pyrrolyl, furanyl  
and pyrrolidinyl). Preferable  $\sigma$  ligands include  
halogens, alkyls, or chloro-amido groups.

5 Y preferably represents a nitrogen atom.

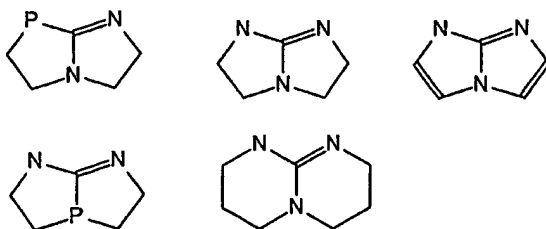
In the catalyst system formed from the compound of  
the invention, the Y atom does not sigma coordinate to  
the metal ion. Instead, the atom at Y serves to provide  
an atom whereby the bridging group X can join the  
10 bicyclic nitrogen group to the  $\eta^5$ -ligand.

The Z atom, which as mentioned above may be  
involved in coordination with the metal ion, is  
preferably a phosphorus or nitrogen atom, especially a  
nitrogen atom.

15 In a most preferred embodiment both Y and Z are  
nitrogen.

The A rings ( $A_1$  and  $A_2$ ), formed partially from the  
atoms -Y-C-Z- or -N-C-Z- may be of different sizes but  
are preferably of the same size. Moreover, each ring  
20 preferably has either 5 or 6 members. Whilst the rings  
may contain further heteroatoms selected from N, P, S or  
B, this is not preferred. Thus, apart from the  
potential heteroatoms represented by Y and Z, the A  
rings are preferably formed from carbon atoms. The A  
25 rings may contain double bonds and may be aromatic but  
preferably the rings contain no double bonds in addition  
to the double bond which must be present between the C  
and N in formula (I). Preferably, the rings are  
unsubstituted.

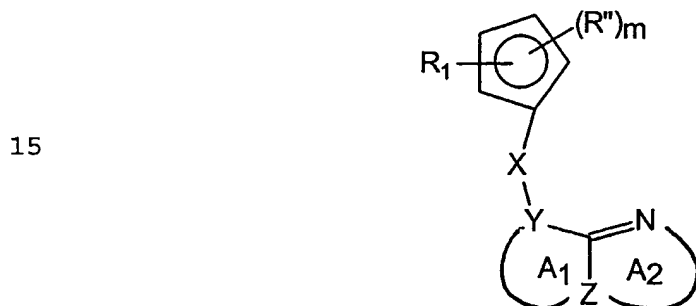
30 Thus suitable bicyclic groups include those  
illustrated below.



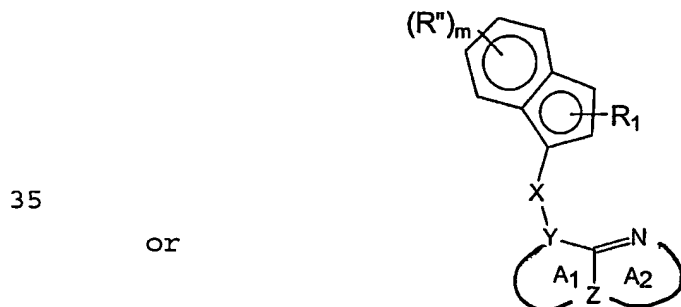
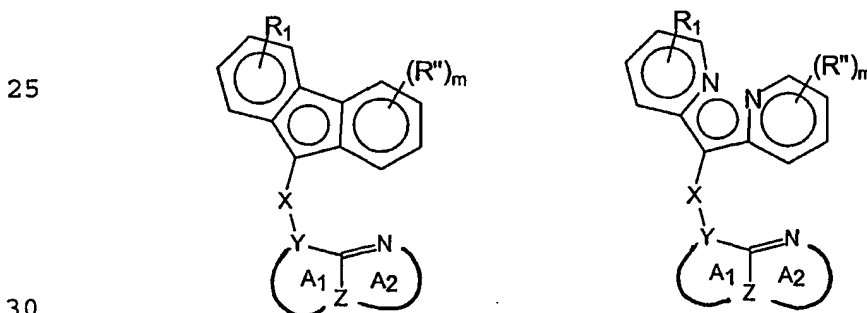
- 7 -

In a highly preferred embodiment the bicyclic group is formed from two fused six membered rings and Y and Z are nitrogen, i.e. the last of the five structures above.

5        The  $\eta^5$ -ligand may be any  $\eta^5$ -ligand which forms an  $\eta$ -bond with the complexing metal ion. Suitable ligands therefore include dipyridylmethanyl, indenyl, fluorenyl or cyclopentadienyl ligands. The  $\eta^5$ -ligand is substituted by groups  $R_1$  and  $(R'')_m$  as hereinbefore defined. Hence, suitable procatalysts of use in the invention include those of formula



20        wherein  $R_1$ ,  $R''$ ,  $m$ ,  $X$ ,  $Y$ ,  $Z$  and rings  $A_1$  and  $A_2$  are as hereinbefore defined. Alternatively, the  $\eta^5$ -ligand is of formula



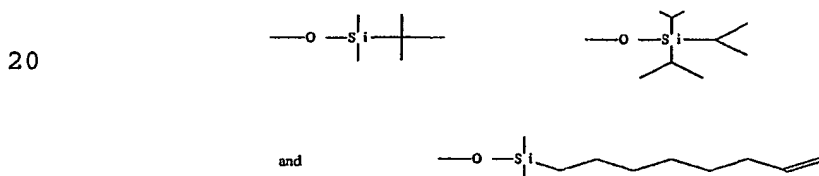


- 8 -

wherein  $R_1$ ,  $R''$ ,  $m$ ,  $X$ ,  $Y$ ,  $Z$  and rings  $A_1$  and  $A_2$  are as hereinbefore defined. In the above formula, the  $R_1$  and  $R''$  groups may be bound to any ring of the  $\eta^5$ -ligand, i.e. although the  $R_1$  group in the formula immediately above is depicted as being generally present on the 5-membered ring, the nomenclature is intended to cover the possibility of the  $R_1$  group being present on the 6-membered ring.

The preferred nature of the groups  $R_1$  and  $R''$  varies depending on the nature of the  $\eta^5$ -ligand. Where the  $\eta^5$ -ligand is a cyclopentadienyl,  $R_1$  is preferably a group of formula  $OSiR'_3$ . Preferably  $R'$  is a  $C_{1-12}$  hydrocarbyl group, e.g. a  $C_{1-8}$  alkyl or alkenyl group, especially methyl or isopropyl.

Examples of suitable  $R'_3SiO$  groups in the compounds or procatalysts of the invention include



Where the  $\eta^5$ -ligand is a cyclopentadienyl group, the  $OSiR'_3$  group may be situated at any position on the cyclopentadienyl ring but preferably is alpha to the carbon atom involved in bridging.

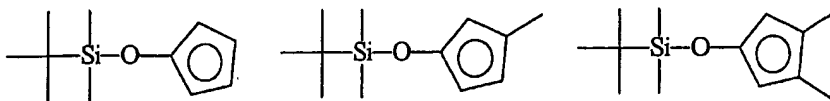
The cyclopentadienyl group itself may be substituted by up to three groups  $R''$  and  $R''$  preferably represents  $C_{1-6}$  alkyl, especially methyl. In a highly preferred embodiment, three  $R''$  groups are present and  $R''$  is methyl. Since  $R_1$  may also represent  $R''$  a cyclopentadienyl substituted by four methyl groups is also within the scope of the invention.

Also within the scope of the invention are cyclopentadienyl groups wherein one of the carbon atoms not bound to the bridging group  $X$  or if present the

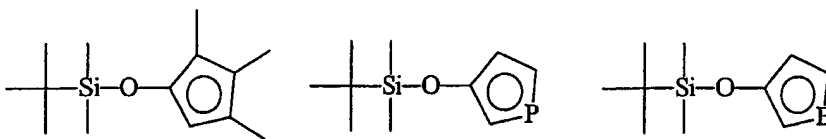
- 9 -

OSiR'<sub>3</sub> group, is replaced by a heteroatom selected from phosphorus, silicon, nitrogen or boron. It is stressed however, that preferably there are no heteroatoms present in the cyclopentadienyl ring.

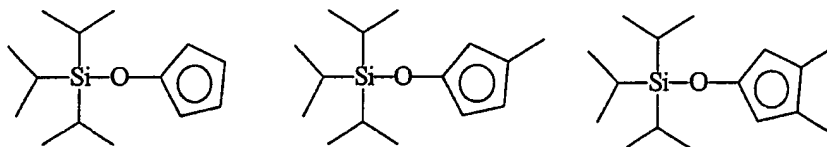
5 Thus typical examples of suitable cyclopentadienyl type moieties include:



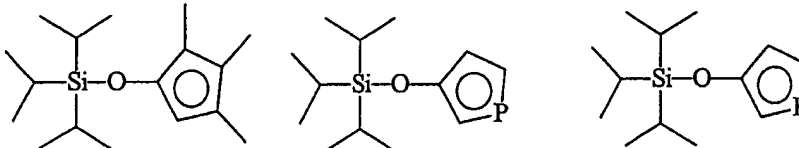
10



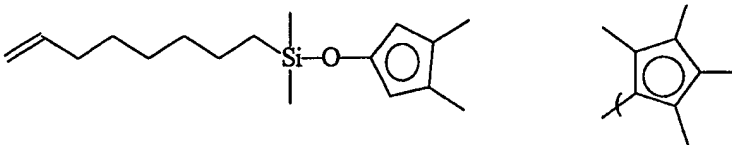
15



20



25



30

Examples of particular cyclopentadienyl siloxy groups usable according to the invention include:

triisopropylsiloxycyclopentadienyl,

1-triisopropylsiloxy-3-methyl-cyclopentadienyl,

35 1-triisopropylsiloxy-3,4-dimethyl-cyclopentadienyl,

1-triisopropylsiloxy-2,3,4-trimethyl-cyclopentadienyl,

(dimethyltertbutylsiloxy)-cyclopentadienyl,

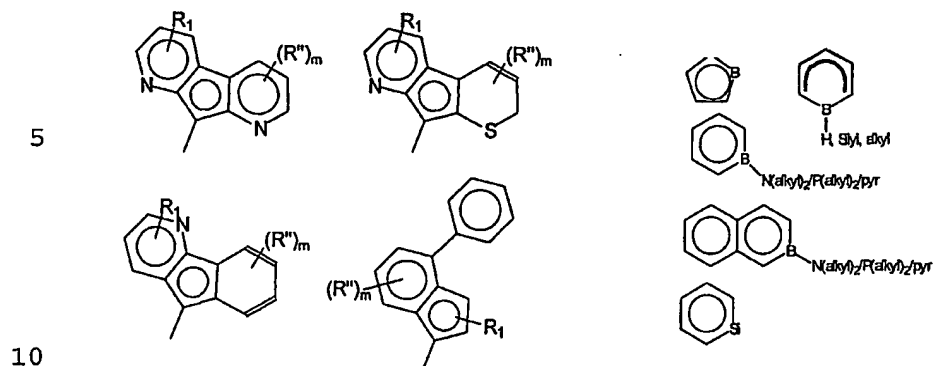
- 10 -

1-(dimethyltertbutylsiloxy)-3-methylcyclopentadienyl,  
 1-(dimethyltertbutylsiloxy)-3,4-  
 dimethylcyclopentadienyl,  
 1-(dimethyltertbutylsiloxy)-2,3,4-trimethyl-  
 5 cyclopentadienyl,  
 1-triisopropylsiloxy-2-phospholyl,  
 1-triisopropylsiloxy-3-phospholyl,  
 1-dimethyltertbutylsiloxy-2-phospholyl,  
 1-dimethyltertbutylsiloxy-3-phospholyl,  
 10 1-triisopropylsiloxy-2-borolyl,  
 1-triisopropylsiloxy-3-borolyl,  
 1-dimethyltertbutylsiloxy-2-borolyl,  
 1-dimethyltertbutylsiloxy-3-borolyl,  
 1-(dimethyloct-1-en-8-ylsiloxy)-3-methyl-  
 15 cyclopentadienyl,  
 1-(dimethyloct-1-en-8-ylsiloxy)-3,4-dimethyl-  
 cyclopentadienyl.

Where the  $\eta^5$ -ligand is a dipyridylmethanyl, indenyl  
 or fluorenyl species the  $R_1$  may also be a group of  
 20 formula  $OSiR'_3$  as hereinbefore described but preferably  
 $R_1$  is hydrogen.  $R''$  may represent a  $C_{1-6}$  alkyl, especially  
 methyl but again in a preferred embodiment  $R''$  is  
 hydrogen. Where the  $\eta$  ligand is indenyl,  $R''$  may  
 preferably represent an n-alkenyl, e.g. n-hexyl.

25 Examples of particular further  $\eta$ -ligands are well  
 known from the technical and patent literature relating  
 to metallocene olefin polymerization catalysts, e.g. EP-  
 A-35242 (BASF), EP-A-129368 (Exxon), EP-A-206794  
 (Exxon), PCT/FI97/00049 (Borealis), EP-A-318048, EP-A-  
 30 643084, EP-A-69951, EP-A-410734, EP-A-128045, EP-B-35242  
 (BASF), EP-B-129368 (Exxon), WO97/23493, Organometallics  
 1995, 14, 471 and EP-B-206794 (Exxon). Further suitable  
 $\eta$ -ligands are those of formula

- 11 -

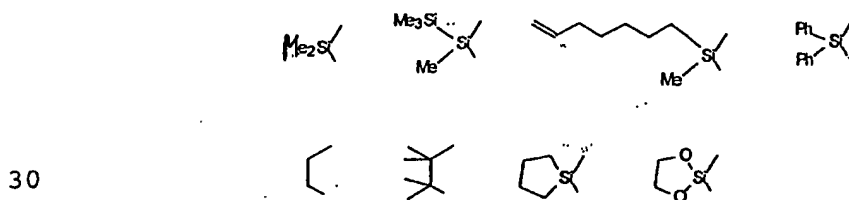


The bridging group X is preferably a one or two atom bridge comprising silicon or carbon. The bridge preferably connects to a carbon atom present in the 5-membered ring of the  $\eta^5$ -ligand. However, where the ligand comprises a heteroatom such as boron, the bridge may attach to the heteroatom or to the heteroatom's substituents. Where the bridge is formed from silicon, the bridge may be of formula  $-\text{Si}(\text{R}_2)_2$  wherein each  $\text{R}_2$  independently represents a  $\text{C}_{1-10}$  alkyl,  $\text{C}_{1-10}$  alkenyl, aryl, e.g. phenyl, trimethylsilyl, or both  $\text{R}_2$  groups taken together may form a ring, e.g. five membered ring, with the Si. Where the bridge comprises carbon, the bridge is preferably a one atom bridge, e.g.  $-\text{CH}_2-$  or  $-\text{CH}(\text{CH}_3)_2-$ . Suitable bridges are depicted below

15

20

25

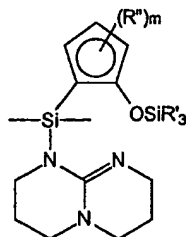


In a highly preferred embodiment, compound according to the invention is of formula

35

- 12 -

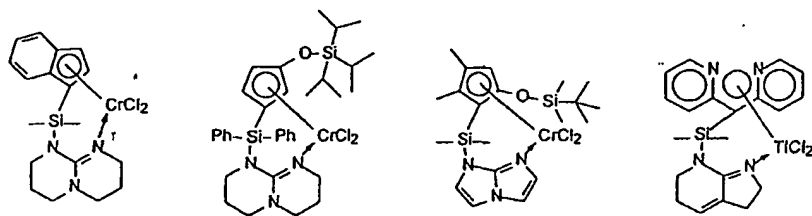
5



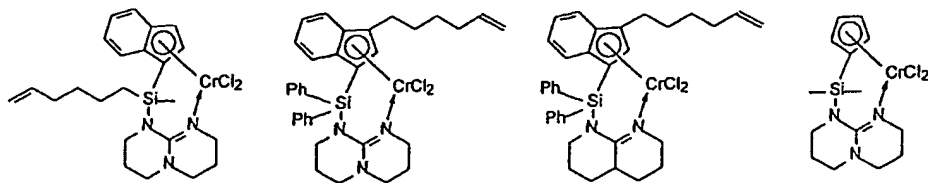
wherein R', m and R'' are as hereinbefore described.

Further typical examples of the procatalysts of the invention include:

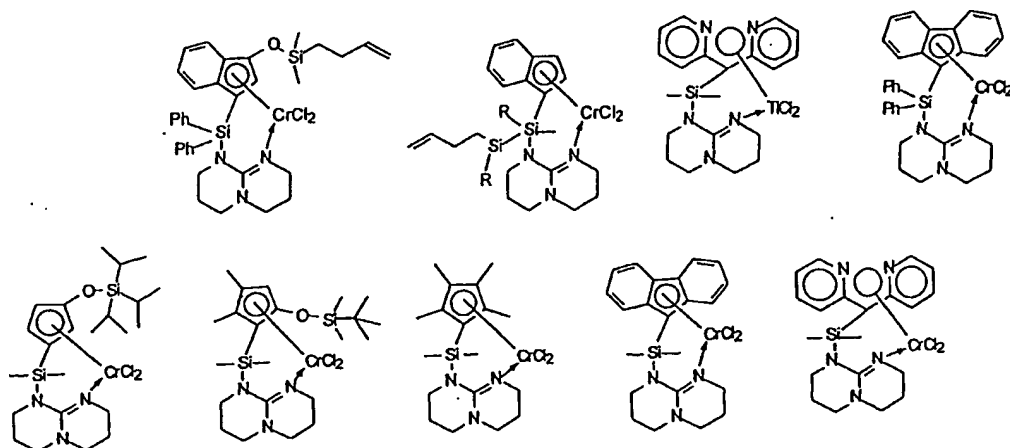
15



20



25

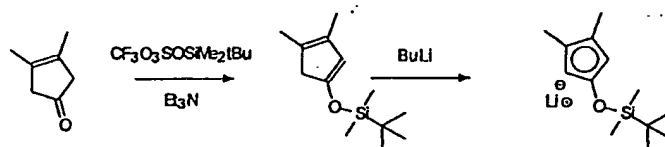


- 13 -

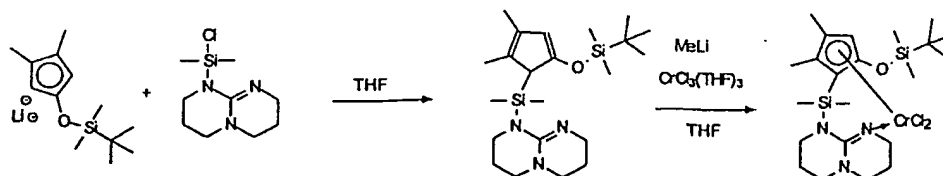
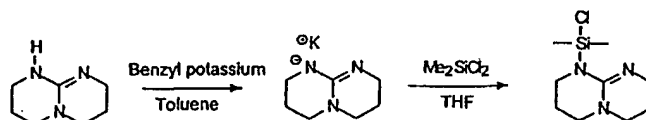
The procatalysts of the invention may be prepared by conventional techniques which will be readily devised by the person skilled in the art. Conveniently for example, the procatalyst is constructed by combining the bicyclic ligand with, for example, the siloxy cyclopentadienyl ligand followed by subsequent metallation. The bridging group may be carried by either the bicyclic ligand or the cyclopentadienyl ligand but conveniently the bridging group is attached to the bicyclic group first.

Where the bicyclic group is for example 1.5.7-triaza[4.4.0]bicyclo-dec-5-ene this may be deprotonated by a strong base and the resulting anion reacted with a bridging group such as dimethylsilyldichloride. The cyclopentadienyl  $\eta$ -ligands used according to the invention may be prepared by reaction of a corresponding siloxycyclopentadiene with an organolithium compound, eg methyllithium or butyllithium. The reaction of the lithium cyclopentadienyl species with the bicyclic ligand carrying bridging group gives rise to a compound of the invention after further deprotonation. These reactions are depicted in the Scheme below.

25



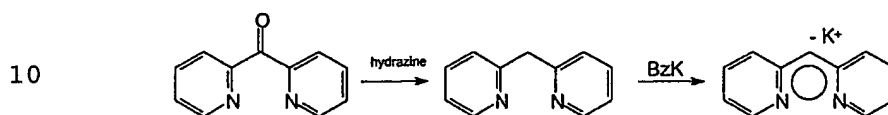
30



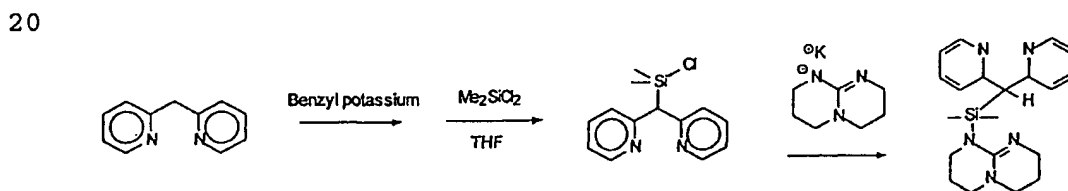
- 14 -

Fluorenyl and indenyl compounds of the invention may be prepared by analogous techniques to those required to prepare the cyclopentadienyl compounds.

Where the  $\eta$ -ligand is a dipyridylmethanediyl, the bicyclic nitrogen ligand may be reacted with a species generated as illustrated in the following scheme.



Deprotonation of the product again leaves the compound of the invention. The starting material may be functionalised as necessary to have required substituents using conventional synthetic chemistry. It is of course possible to have the dipyridylmethanediyl carry the bridging group using the following chemistry:



25 The compound can be metallated conventionally, eg by reaction with a halide of the metal M, preferably in an organic solvent, eg a hydrocarbon or a hydrocarbon/ether mixture.

30  $\sigma$ -ligands other than chlorine may be introduced by displacement of chlorine from an  $\eta$ -ligand metal chloride by reaction with appropriate nucleophilic reagent (e.g. methyl lithium or methylmagnesium chloride) or using, instead of a metal halide, a reagent such as  
35 tetrakisdimethylamidotitanium or metal compounds with mixed chloro and dimethylamido ligands.

As mentioned above, the olefin polymerisation

- 15 -

catalyst system of the invention comprises (i) a procatalyst formed from a metallated compound of formula (I) and (ii) an aluminium alkyl compound, or the reaction product thereof. While the aluminium alkyl compound may be an aluminium trialkyl (eg triethylaluminium (TEA)) or an aluminium dialkyl halide (eg diethyl aluminium chloride (DEAC)), it is preferably an alumoxane, particularly an alumoxane other than MAO, most preferably an isobutylalumoxane, eg TIBAO (tetraisobutylalumoxane) or HIBAO (hexaisobutylalumoxane). Alternatively however the alkylated (eg methylated) metallocene procatalysts of the invention (e.g. compounds of formula V wherein Z is alkyl) may be used with other cocatalysts, eg boron compounds such as  $B(C_6F_5)_3$ ,  $C_6H_5N(CH_3)_2H:B(C_6F_5)_4$ ,  $(C_6H_5)_3C:B(C_6F_5)_4$  or  $Ni(CN)_4[B(C_6F_5)_3]_4^{2-}$ .

The metallocene procatalyst and cocatalyst may be introduced into the polymerization reactor separately or together or, more preferably they are pre-reacted and their reaction product is introduced into the polymerization reactor.

If desired the procatalyst, procatalyst/cocatalyst mixture or a procatalyst/cocatalyst reaction product may be used in unsupported form or it may be precipitated and used as such. However the metallocene procatalyst or its reaction product with the cocatalyst is preferably introduced into the polymerization reactor in supported form, eg impregnated into a porous particulate support.

The particulate support material used is preferably an organic or inorganic material, e.g. a polymer (such as for example polyethylene, polypropylene, an ethylene-propylene copolymer, another polyolefin or polystyrene or a combination thereof). Such polymeric supports may be formed by precipitating a polymer or by a prepolymerization, eg of monomers used in the polymerization for which the catalyst is intended.



- 16 -

However, the support is especially preferably a metal or pseudo metal oxide such as silica, alumina or zirconia or a mixed oxide such as silica-alumina, in particular silica, alumina or silica-alumina. Particularly  
5 preferably, the support material is acidic, e.g. having an acidity greater than or equal to silica, more preferably greater than or equal to silica-alumina and even more preferably greater than or equal to alumina. The acidity of the support material can be studied and  
10 compared using the TPD (temperature programmed desorption of gas) method. Generally the gas used will be ammonia. The more acidic the support, the higher will be its capacity to adsorb ammonia gas. After being saturated with ammonia, the sample of support material  
15 is heated in a controlled fashion and the quantity of ammonia desorbed is measured as a function of temperature.

Especially preferably the support is a porous material so that the metallocene may be loaded into the  
20 pores of the support, e.g. using a process analogous to those described in WO94/14856 (Mobil), WO95/12622 (Borealis) and WO96/00243 (Exxon). The particle size is not critical but is preferably in the range 5 to 200  $\mu\text{m}$ , more preferably 20 to 80  $\mu\text{m}$ .

25 Before loading, the particulate support material is preferably calcined, ie heat treated, preferably under a non-reactive gas such as nitrogen. This treatment is preferably at a temperature in excess of 100°C, more preferably 200°C or higher, e.g. 200-800°C, particularly  
30 about 300°C. The calcination treatment is preferably effected for several hours, e.g. 2 to 30 hours, more preferably about 10 hours.

The support may be treated with an alkylating agent before being loaded with the metallocene. Treatment  
35 with the alkylating agent may be effected using an alkylating agent in a gas or liquid phase, e.g. in an organic solvent for the alkylating agent. The

- 17 -

alkylating agent may be any agent capable of introducing alkyl groups, preferably C<sub>1-6</sub> alkyl groups and most especially preferably methyl groups. Such agents are well known in the field of synthetic organic chemistry.

5 Preferably the alkylating agent is an organometallic compound, especially an organoaluminium compound (such as trimethylaluminium (TMA), dimethyl aluminium chloride, triethylaluminium) or a compound such as methyl lithium, dimethyl magnesium, triethylboron, etc.

10 The quantity of alkylating agent used will depend upon the number of active sites on the surface of the carrier. Thus for example, for a silica support, surface hydroxyls are capable of reacting with the alkylating agent. In general, an excess of alkylating agent is preferably used with any unreacted alkylating  
15 agent subsequently being washed away.

Where an organoaluminium alkylating agent is used, this is preferably used in a quantity sufficient to provide a loading of at least 0.1 mmol Al/g carrier, especially at least 0.5 mmol Al/g, more especially at  
20 least 0.7 mmol Al/g, more preferably at least 1.4 mmol Al/g carrier, and still more preferably 2 to 3 mmol Al/g carrier. Where the surface area of the carrier is particularly high, lower aluminium loadings may be used.  
25 Thus for example particularly preferred aluminium loadings with a surface area of 300-400 m<sup>2</sup>/g carrier may range from 0.5 to 3 mmol Al/g carrier while at surface areas of 700-800 m<sup>2</sup>/g carrier the particularly preferred range will be lower.

30 Following treatment of the support material with the alkylating agent, the support is preferably removed from the treatment fluid and any excess treatment fluid is allowed to drain off.

The optionally alkylated support material is loaded  
35 with the procatalyst, preferably using a solution of the procatalyst in an organic solvent therefor, e.g. as described in the patent publications referred to above.

- 18 -

Preferably, the volume of procatalyst solution used is from 50 to 500% of the pore volume of the carrier, more especially preferably 80 to 120%. The concentration of procatalyst compound in the solution used can vary from dilute to saturated depending on the amount of metallocene active sites that it is desired be loaded into the carrier pores.

The active metal (ie. the metal of the procatalyst) is preferably loaded onto the support material at from 0.1 to 4%, preferably 0.5 to 3.0%, especially 1.0 to 2.0%, by weight metal relative to the dry weight of the support material.

After loading of the procatalyst onto the support material, the loaded support may be recovered for use in olefin polymerization, e.g. by separation of any excess procatalyst solution and if desired drying of the loaded support, optionally at elevated temperatures, e.g. 25 to 80°C.

Alternatively, a cocatalyst, e.g. an alumoxane or an ionic catalyst activator (such as a boron or aluminium compound, especially a fluoroborate) may also be mixed with or loaded onto the catalyst support material. This may be done subsequently or more preferably simultaneously to loading of the procatalyst, for example by including the cocatalyst in the solution of the procatalyst or, by contacting the procatalyst loaded support material with a solution of the cocatalyst or catalyst activator, e.g. a solution in an organic solvent. Alternatively however any such further material may be added to the procatalyst loaded support material in the polymerization reactor or shortly before dosing of the catalyst material into the reactor.

In this regard, as an alternative to an alumoxane it may be preferred to use a fluoroborate catalyst activator, especially a  $B(C_6F_5)_3$  or more especially a  $^oB(C_6F_5)_4$  compound, such as  $C_6H_5N(CH_3)_2H:B(C_6F_5)_4$  or  $(C_6H_5)_3C:B(C_6F_5)_4$ . Other borates of general formula

- 19 -

(cation<sup>+</sup>)<sub>a</sub> (borate<sup>-</sup>)<sub>b</sub>, where a and b are positive numbers, may also be used.

Where such a cocatalyst or catalyst activator is used, it is preferably used in a mole ratio to the metallocene of from 0.1:1 to 10000:1, especially 1:1 to 50:1, particularly 1:2 to 30:1. More particularly, where an alumoxane cocatalyst is used, then for an unsupported catalyst the aluminium:metallocene metal (M) molar ratio is conveniently 2:1 to 10000:1, preferably 50:1 to 1000:1. Where the catalyst is supported the Al:M molar ratio is conveniently 2:1 to 10000:1 preferably 50:1 to 400:1. Where a borane cocatalyst (catalyst activator) is used, the B:M molar ratio is conveniently 2:1 to 1:2, preferably 9:10 to 10:9, especially 1:1. When a neutral triarylboron type cocatalyst is used the B:M molar ratio is typically 1:2 to 500:1, however some aluminium alkyl would normally also be used. When using ionic tetraaryl borate compounds, it is preferred to use carbonium rather than ammonium counterions or to use B:M molar ratio below 1:1.

Where the further material is loaded onto the procatalyst loaded support material, the support may be recovered and if desired dried before use in olefin polymerization.

The olefin polymerized in the method of the invention is preferably ethylene or an alpha-olefin or a mixture of ethylene and an  $\alpha$ -olefin or a mixture of alpha olefins, for example C<sub>2-20</sub> olefins, e.g. ethylene, propene, n-but-1-ene, n-hex-1-ene, 4-methyl-pent-1-ene, n-oct-1-ene- etc. The olefins polymerized in the method of the invention may include any compound which includes unsaturated polymerizable groups. Thus for example unsaturated compounds, such as C<sub>6-20</sub> olefins (including cyclic and polycyclic olefins (e.g. norbornene)), and polyenes, especially C<sub>6-20</sub> dienes, may be included in a comonomer mixture with lower olefins, e.g. C<sub>2-5</sub>  $\alpha$ -olefins. Diolefins (ie. dienes) are suitably used for

- 20 -

introducing long chain branching into the resultant polymer. Examples of such dienes include  $\alpha,\omega$  linear dienes such as 1,5-hexadiene, 1,6-heptadiene, 1,8-nonadiene, 1,9-decadiene, etc.

5           In general, where the polymer being produced is a homopolymer it will preferably be polyethylene or polypropylene. Where the polymer being produced is a copolymer it will likewise preferably be an ethylene or propylene copolymer with ethylene or propylene making up  
10 the major proportion (by number and more preferably by weight) of the monomer residues. Comonomers, such as  $C_4$ - $C_6$  alkenes, will generally be incorporated to contribute to the mechanical strength of the polymer product.

          Usually metallocene catalysts yield relatively  
15 narrow molecular weight distribution polymers; however, if desired, the nature of the monomer/monomer mixture and the polymerization conditions may be changed during the polymerization process so as to produce a broad bimodal or multimodal molecular weight distribution  
20 (MWD) in the final polymer product. In such a broad MWD product, the higher molecular weight component contributes to the strength of the end product while the lower molecular weight component contributes to the processability of the product, e.g. enabling the product  
25 to be used in extrusion and blow moulding processes, for example for the preparation of tubes, pipes, containers, etc.

          A multimodal MWD can be produced using a catalyst material with two or more different types of active  
30 polymerization sites, e.g. with one such site provided by the metallocene on the support and further sites being provided by further catalysts, e.g. Ziegler catalysts, other metallocenes, etc. included in the catalyst material.

35           Polymerization in the method of the invention may be effected in one or more, e.g. 1, 2 or 3, polymerization reactors, using conventional

- 21 -

polymerization techniques, e.g. gas phase, solution phase, slurry or bulk polymerization.

In general, a combination of slurry (or bulk) and at least one gas phase reactor is often preferred, particularly with the reactor order being slurry (or bulk) then one or more gas phase.

For slurry reactors, the reaction temperature will generally be in the range 60 to 110°C (e.g. 85-110°C), the reactor pressure will generally be in the range 5 to 80 bar (e.g. 50-65 bar), and the residence time will generally be in the range 0.3 to 5 hours (e.g. 0.5 to 2 hours). The diluent used will generally be an aliphatic hydrocarbon having a boiling point in the range -70 to +100°C. In such reactors, polymerization may if desired be effected under supercritical conditions.

For gas phase reactors, the reaction temperature used will generally be in the range 60 to 115°C (e.g. 70 to 110°C), the reactor pressure will generally be in the range 10 to 25 bar, and the residence time will generally be 1 to 8 hours. The gas used will commonly be a non-reactive gas such as nitrogen together with monomer (e.g. ethylene).

For solution phase reactors, the reaction temperature used will generally be in the range 130 to 270°C, the reactor pressure will generally be in the range 20 to 400 bar and the residence time will generally be in the range 0.1 to 1 hour. The solvent used will commonly be a hydrocarbon with a boiling point in the range 80-200°C.

Generally the quantity of catalyst used will depend upon the nature of the catalyst, the reactor types and conditions and the properties desired for the polymer product. Conventional catalyst quantities, such as described in the publications referred to herein, may be used.

All publications referred to herein are hereby incorporated by reference.

- 22 -

**EXPERIMENTAL**

## General Considerations

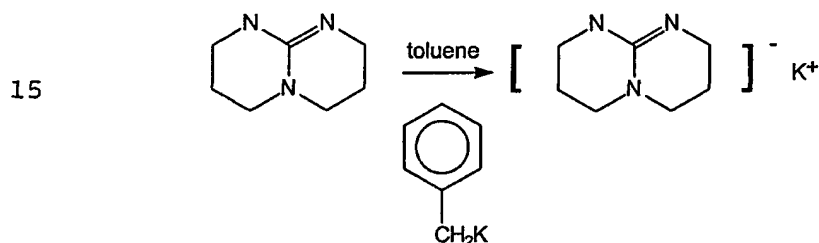
5 All operations were carried out in argon or nitrogen atmosphere using standard Schlenk, vacuum and dry box techniques. Solvents were dried with potassium benzophenone ketyl and distilled under argon prior to use. 1.5.7-triaza[4.4.0]bicyclo-dec-5-ene (TAB-H) (Fluka) and dipyridylketone (DPM-H) (Fluka) were used as purchased. Benzyl potassium was prepared according to Schlosser, M. and Hartmann, J. Angew. Chem 1973, 85, 544-545.  $\text{CrCl}_3(\text{THF})_3$  and  $\text{TiCl}_3(\text{THF})_3$  were prepared according to W.A. Herrmann and G. Brauer, Synthetic Methods of Organometallic and Inorganic Chemistry, Vol. 1: Literature, Laboratory Techniques and Common Starting Materials, Thieme 1996.  $^1\text{H}$ - and  $^{13}\text{C}$ -NMR spectra were recorded using JEOL JNM-EX 270 MHz FT NMR spectrometer with tetramethylsilane (TMS) as an internal reference.  $^{13}\text{C}$ -CPMAS NMR and the mass spectra were recorded at Fortum Oil and Gas Oy, Analytical Research department. The CPMAS-NMR spectra were recorded using Chemagnetics Infinity 270 MHz equipment and the direct inlet MS spectra were produced by VG TRIO 2 quadrupole mass spectrometer in electron impact ionisation mode (EIMS) (70eV). The GC-MS analyses were performed using Hewlett Packard 6890/5973 Mass Selective Detector in electron impact ionisation mode (70eV) equipped with a silica capillary column (30 m x 0.25 mm i.d.). The FTIR spectra were recorded at Borealis Analytical Research department using Perkin-Elmer Spectrum 2000 spectrometer with inert diamond ATR accessory and 4  $\text{cm}^{-1}$  resolution. Thermogravimic measurements (TG) were recorded using 35 METTLER TG50 Termobalance and the Differential Scanning Calorimetry (DSC) and melting point analyses using GWB METTLER DSC-30 under inert conditions at Borealis

- 23 -

Analytical Research department. The polymerization tests were carried out using MAO, 30 % solution in toluene purchased from Albermarle. Test polymerizations were carried out in pentane at 60°C and at 80°C with hydrogen present using an Al/M ratio of 1000 unless otherwise stated. A Büchi 2 L stirred reactor with mantle heating was used for the polymerization tests.

### 10 Example 1

Synthesis of (1.5.7-triaz[4.4.0]bicyclo-dec-5-enyl) potassium,  $C_7H_{12}KN_3$



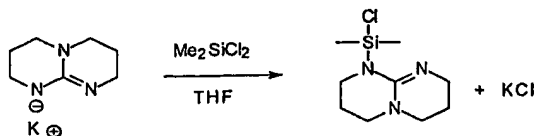
20 Red solid benzyl potassium (9.6g, 73.3 mmol) was added into the solution of 1.5.7-triaza[4.4.0]bicyclo-dec-5-ene (10.2g, 73.3 mmol) in 350 ml of dry toluene at -40°C. The temperature was allowed to warm to room temperature and the mixture stirred for 16 hours. The colour changed via red to a white slurry. The solvents were removed in a vacuum, the product washed with 3 x 60 ml of ether and dried in a vacuum to obtain 10.1g (78%) of white powder. <sup>1</sup>H-NMR in THF-d<sub>8</sub>; δ: 3.17 (t, 4H); 2.98 (t, 4H); 1.69 (t, 4H). The potassium salt product could not be analysed with MS. Elemental analysis calc.: C 47.4%, H 6.8%, N 23.7%, K 22.1%. Elemental analysis found: C 46.0%, H 6.4%, N 23.1%.

### Example 2

35 Synthesis of triazabicyclodec-ene-yl-1-dimethylsilylchloride  $C_9H_{18}ClN_3Si$ .



- 24 -



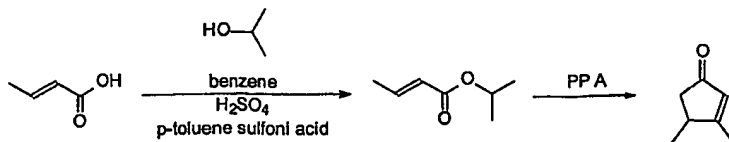
5

1.5.7-triaza[4.4.0]bicyclo-dec-5-enyl potassium 13.0 g (73.1 mmol) dissolved in 200 mL of THF was added into a solution of 55 mL (438.6 mmol) of  $\text{Me}_2\text{SiCl}_2$  in 50 mL THF over 3 hours at ambient temperature. Colour changed from yellow to dark yellow. The solution was stirred for 2 hours at ambient temperature after which the mixture containing a gelish precipitate of KCl was filtrated and washed with 2 x 30 mL of THF. Solvent was removed under vacuum to obtain an off yellow solid which was extracted with 3 x 30 mL of pentane and and filtrated. The product was purified by recrystallization and filtration from cold ( $-30^\circ\text{C}$ ) pentane yielding colorless, needle-like crystals. Yield 12.9 g (76.4%).  $^1\text{H-NMR}$   $\text{CDCl}_3$ ,  $\delta$ : 3.16 (t, 4H), 3.11 (t, 4H), 1.88 (t, 4H), 0.46 (s, 6H).  $^{13}\text{C-NMR}$   $\text{CDCl}_3$ ,  $\delta$ : 154.9, 45.3, 38.6, 23.3, 7.9. EIMS analysis showed the decomposition pattern of the parent ion of the title compound  $\text{C}_9\text{H}_{18}\text{ClN}_3\text{Si}$   $M^+ = 231.80 \text{ g mol}^{-1}$ . Elemental analysis calc. C 46.63%, H 7.83%, N 18.13%, Cl 15.29%, Si 12.12%; Found. C 46.52%, H 7.80%, N 18.24%, Cl 15.15%, Si 12.05%.

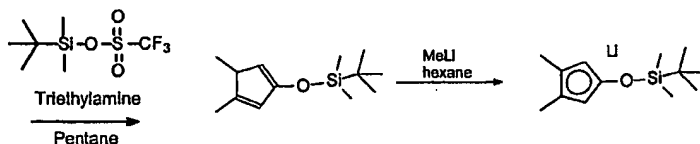
25

**Example 3****t-Butyldimethylsiloxo-3,4-dimethylcyclopentadienyl lithium**

30



35



- 25 -

40.0 g (465 mmol) of crotonic acid (Fluka 28010), 25.1 g (418 mmol) of isopropanol (Merck 1.09634.2500), 200 mL of benzene, 4.2 g of conc.  $\text{H}_2\text{SO}_4$  and 2.1 g of *para*-toluene sulfonic acid was charged to a 500 mL flask equipped with a magnetic stirrer bar and Dean-Stark water separator. The mixture was refluxed until water formation ceased. 200 mL of ether was added to the mixture, and then washed with several portions of  $\text{NaHCO}_3$  (aq., sat.) until the acid was neutralized. Organic phase was separated, dried with  $\text{MgSO}_4$  and filtered. Solvent was removed under reduced pressure and the remainder distilled at  $95^\circ\text{C}$  to give 30.5 g of isopropylcrotonate. Yield 57%.  $^1\text{H-NMR}$  ( $\text{CDCl}_3$ ): 6.95 (dq, 1H), 5.82 (d, 1H), 5.05 (sept, 1H), 1.88 (d, 3H), 1.25 (d, 6H).

811 g of polyphosphoric acid (Fluka 81340) was loaded to a round bottom flask equipped with a reflux condenser and a magnetic stirrer bar and heated to  $100^\circ\text{C}$ . 104 g (810 mmol) of isopropylcrotonate was added to the flask and the mixture was stirred for 2 hours at  $100^\circ\text{C}$ . The resulted mixture was poured to 1.5 kg of crushed ice. At room temperature the mixture was saturated with  $\text{NH}_4\text{Cl}$  and extracted with 4x100 mL of ether. The combined ether fractions were dried over  $\text{MgSO}_4$  and filtered. Solvent was removed under reduced pressure and the remainder distilled (0.2 mbar,  $33^\circ\text{C}$ , bath  $90^\circ\text{C}$ ) to give 47.99 g of 3,4-dimethylcyclopentenone. Yield 54%.  $^1\text{H-NMR}$  ( $\text{CDCl}_3$ ): 5.86 (s, 1H), 2.80 (m, 1H), 2.64 (dd, 1H), 2.07 (s, 3H), 2.00 (dd, 1H), 1.18 (d, 3H).

12.23 g (111.0 mmol) of 3,4-dimethylcyclopentenone, 11.31 g (111.8 mmol) of triethylamine dried with molecular sieves and 300 mL of dry pentane were mixed at room temperature. During 13 minutes 29.44 g (111.4 mmol) of *t*-butyldimethylsilyltrifluoromethylsulfonate (Fluka 97742) was added to the mixture. After stirring for 2.5

- 26 -

hour the supernatant pentane fraction was separated, solvent removed under reduced pressure and the remainder distilled (0.03 mbar, 34-40°C, bath 100°C) resulting in 19.74 g of isomer isomer mixture of t-

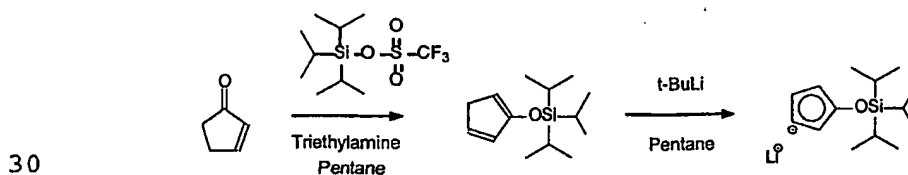
5 butyldimethylsiloxy-3,4-dimethylcyclopentadienes. Yield 79%. <sup>1</sup>H-NMR spectrum was complicated due to presence of at least 3 isomers. The product was characterised by GC/MS technique, which showed presence of three components (GC) each showing M<sup>+</sup> peak at 224 (MS).

10

10 g (44.6 mmol) of isomer mixture of t-butyldimethylsiloxy-3,4-dimethylcyclopentadienes was mixed with 200 mL of pentane at room temperature. At -20°C 28.4 mL (44.6 mmol) of 1.57 M t-butyllithium solution in hexanes 15 (Acros 18128-0900) was added. Temperature was increased to 20°C during 15 hours while stirring. The resulted solid product was separated by filtration and washed with 2 x 100 mL of pentane. Remaining solvent was removed under reduced pressure. 7.07 g of t-butyl- 20 dimethylsiloxy-3,4-dimethylcyclopentadienyl lithium was isolated. Yield 69%. <sup>1</sup>H-NMR (THF-d<sub>8</sub>): 4.88 (s, 2H), 1.95 (s, 6H), 0.95 (s, 9H), 0.08 (s, 6H).

#### Example 4

25 **Synthesis of Tri-isopropylsiloxycyclopentadienyl lithium**



Triisopropylsiloxycyclopentadiene was prepared analogously to example 3 using triisopropylsilyl-trifluoromethylsulfonate (Fluka 91746) and cyclopent-2-enone (Fluka 29827) as starting materials. It was not 35 isolated but lithiated immediately to avoid spontaneous Diels-Alder dimerisation of the product. Lithiation was

- 27 -

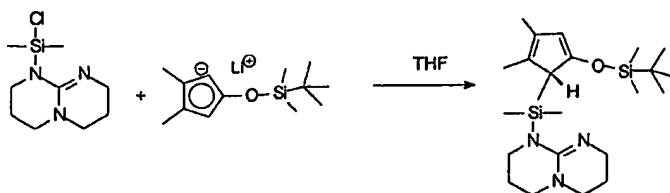
performed analogously to example 3 and afforded triisopropylsiloxycyclopentadienyl lithium in 81% yield.  $^1\text{H-NMR}$  (THF- $d_8$ ): 5.22 (m, 2H), 5.17 (m, 2H), 1.11 (m, 3H), 1.04 (d, 18H).

5

**Example 5**

**Synthesis of triazabicyclodec-ene-yl-1-dimethylsilyl-dimethyl-*tert*butylsiloxy dimethylcyclopentadiene (mixture of isomers)  $\text{C}_{22}\text{H}_{41}\text{N}_3\text{OSi}_2$**

10



15

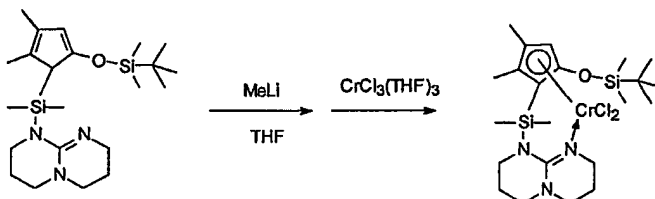
1.2 g (5.21 mmol) of *t*-butyldimethylsiloxy-3,4-dimethylcyclopentadienyl lithium dissolved in 100 mL of THF was added over 1 h into a solution of 1.2 g (5.21 mmol) of triazabicyclodec-ene-yl-1-dimethylsilylchloride in 50 mL of THF at  $-70^\circ\text{C}$  to give an orange transparent solution. The solution was refluxed for 16 hours after which the solvent was removed under vacuum. The product was extracted into pentane, filtrated and cooled to  $-30^\circ\text{C}$  for 16 hours. Trace insolubilities were filtrated off at  $-30^\circ\text{C}$  and the solvent removed under vacuum to give 98% pure, brown oily mixture of double bond and stereoisomers. Yield 1.6 g (73.3%). The EIMS analysis showed the decomposition pattern of the parent ion of the title compound  $\text{C}_{22}\text{H}_{41}\text{N}_3\text{OSi}_2$   $M^+ = 419.76 \text{ g mol}^{-1}$ , fragmentation peaks at 404, 270, 224 and 196.  $^1\text{H-NMR}$  of the major isomer in THF- $d_8$   $\delta$ : 5.02 (s, 1H) 3.41 (s, 1H) 3.18 (m, 4H) 3.06 (m, 4H) 2.02 (s, 3H) 1.95 (s, 3H) 1.78 (m, 4H) 0.97 (s, 9H) 0.24 (s, 3H), 0.22 (s, 3H), 0.19 (s, 3H), 0.10 (s, 3H).  $^{13}\text{C-NMR}$  THF- $d_8$   $\delta$ : 160.9, 151.6, 131.7, 124.6, 110.6, 95.5, 53.0, 48.9, 43.3, 27.0, 26.4, 24.7, 13.0, 0.9, -4.1, -4.6.

35

**Example 6**

**Synthesis of triazabicyclodec-ene-yl-1-dimethylsilyl-dimethyl-tertbutylsiloxy dimethylcyclopentadienyl chromium dichloride  $C_{22}H_{40}Cl_2CrN_3OSi_2$**

5



10

1.8 mL of MeLi (1.6 M solution in diethyl ether, 3.34 mmol) was added into a solution of 1.6 g (3.34 mmol) of triazabicyclodec-ene-yl-1-dimethylsilyl-dimethyl-tertbutylsiloxy dimethylcyclopentadiene in 50 mL THF at +50°C over 5 minutes and stirred at ambient temperature for 16 hours.  $CrCl_3(THF)_3$  (3.34 mmol) dissolved in THF was added over 40 minutes at -50°C to give a dark blue solution which was stirred at ambient temperature for 16 hours.

Solvents were removed under vacuum and the product extracted in toluene, filtered and evaporated. The raw product was purified by washing with cold pentane. Yield 1.0 g (52.2%) of dark blue microcrystalline solid. The compound was paramagnetic, NMR identification was not possible. The EIMS analysis showed the decomposition pattern of the parent ion of the title compound  $C_{22}H_{40}Cl_2CrN_3OSi_2$   $M^+ = 541.65 \text{ g mol}^{-1}$ , fragmentation peaks at 504, 468, 418, 287, 224, 196 and 138. Melting point: 145°C (broad peak in DSC). TG analysis (from 30°C to 891°C, 10°C/min) showed 57.7% loss of weight in a one phase process at 286°C averaged delta temperature. Crystals suitable for X-ray analysis were not obtained because of the presence of the two stereoisomers (rac and meso type) which resulted in the precipitation of microcrystalline solid. Elemental analysis calc. C 48.78%, H 7.44%, N 7.76%, Cl 13.09%, Si 10.37%, Cr 9.60%; Found C 49.02%, H 7.56%, N 7.94%, Cl 12.91%, Si

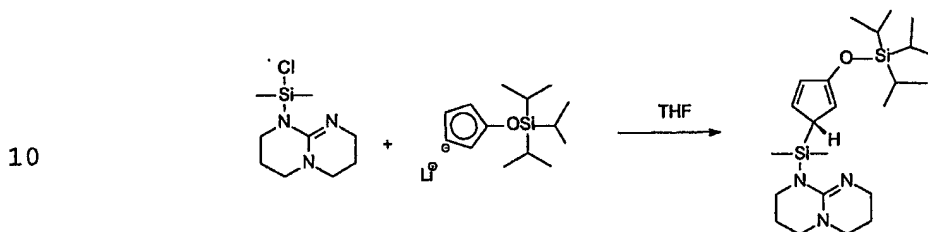
35

- 29 -

10.11%, Cr 9.44%.

**Example 7**

**Synthesis of triazabicyclodec-ene-yl-1-dimethylsilyl-  
tri-isopropylsiloxo cyclopentadiene (mixture of isomers)**  
 $C_{23}H_{43}N_3OSi_2$

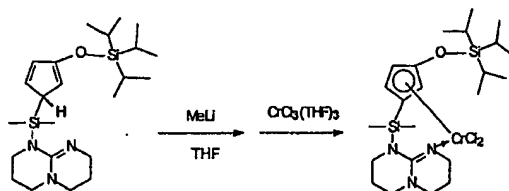


3.2 g (12.3 mmol, 92.6%) of tri-isopropylsiloxo-  
cyclopentadienyl lithium dissolved in 70 mL of THF was  
 15 added over 40 minutes into a solution of 2.8 g (12.3  
mmol) of triazabicyclodec-ene-yl-1-dimethylsilylchloride  
in 70 mL of THF at  $-70^{\circ}\text{C}$  to give a red mixture. The  
mixture was refluxed for 16 hours after which the  
solvent was removed under vacuum. The product was  
 20 extracted into pentane, filtrated and the filtrate  
cooled to  $-30^{\circ}\text{C}$  for 16 hours after which the insolubles  
were filtered off at  $-30^{\circ}\text{C}$ . The filtrate was then cooled  
to  $-70^{\circ}\text{C}$  for 6 h and the trace insolubles filtrated off  
at  $-70^{\circ}\text{C}$ . The pentane was then removed under vacuum to  
 25 give a dark brown, viscous oily mixture of double bond  
and regioisomers. Yield 3.1 g (57.6%). The EIMS analysis  
showed the decomposition pattern of the parent ion of  
the title compound  $C_{23}H_{43}N_3OSi_2$ ,  $M^+ = 433.78 \text{ g mol}^{-1}$ ,  
fragmentation peaks at 418, 390, 238 and 196.  $^1\text{H-NMR}$   
 30 major isomer in  $\text{THF-d}_8$   $\delta$ : 6.39 (m, 1H) 6.21 (m, 1H) 5.62  
(m, 1H) 3.19 (m, 4H) 3.08 (m, 4H) 2.92 (m, 1H) 1.80 (m,  
4H) 1.20 (m, 3H) 1.18 (s, 18H) 0.25 (s, 6H).  $^{13}\text{C-NMR}$   
major isomer (1,3-substituted regioisomer) in  $\text{THF-d}_8$   $\delta$ :  
 149.0, 131.8, 130.8, 130.0, 105.0, 102.0, 47.0, 41.2,  
 35 22.7, 16.2, 11.2, 0.4. The compound decomposed during  
the elemental analysis sample preparation.

- 30 -

## Example 8

Synthesis of triazabicyclodec-ene-yl-1-dimethylsilyl-tri-isopropylsiloxy cyclopentadienyl chromium dichloride (mixture of isomers)  $C_{23}H_{42}N_3Cl_2CrOSi_2$



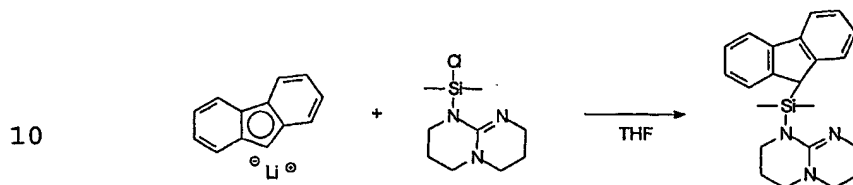
3.7 mL of MeLi (1.9 M solution in diethyl ether, 7.04 mmol) was added into a solution of 3.1 g (7.04 mmol) of triazabicyclodec-ene-yl-1-dimethylsilyl-tri-isopropylsiloxy-cyclopentadiene in 70 mL THF at +50°C over 5 minutes and stirred at ambient temperature for 16 hours.  $CrCl_3(THF)_3$  (7.04 mmol) dissolved in 50 mL THF was added over 30 minutes at -30°C to give a dark blue-green solution which was stirred at ambient temperature for 16 hours. Solvents were removed under vacuum and the product extracted in toluene, filtered and evaporated. The raw product was purified by washing with cold pentane. Yield 1.9 g (48.6%) of blue-green microcrystalline solid. The compound was paramagnetic, NMR identification was not possible. The EIMS analysis showed the decomposition pattern of the parent ion of the title compound  $C_{23}H_{42}Cl_2CrN_3OSi_2$ ,  $M^+ = 555.68 \text{ g mol}^{-1}$ , fragmentation peaks at 499, 477, 238, 192 and 178. Melting point: 170.9 °C (broad peak in DSC). TG analysis (from 30°C to 891°C, 10 °C/min) showed 55.2% loss of weight in a two phase process at 242 °C averaged delta temperature. Mp 170.9°C. Crystals suitable for X-ray analysis were not obtained because of the presence of the two stereoisomers (rac and meso type) which resulted in the precipitation of microcrystalline solid. Elemental analysis calc. C 49.71%, H 7.62%, N 7.56%, Cl 12.76%, Cr 9.36%, O 2.88%,

- 31 -

Si 10.11%; Found C 49.50%, H 7.65%, N 7.31%, Cl 12.93%,  
Si 9.98%, Cr 9.48%.

**Example 9**

**5 Synthesis of triazabicyclodec-ene-yl-1-dimethylsilyl-fluorene  $C_{22}H_{27}N_3Si$**



Fluorenyllithium (prepared from fluorene via treatment  
of butyllithium) 0.7 g (4.08 mmol) dissolved in 50 mL  
15 of THF was added over 30 minutes into a solution of 0.9  
g (4.08 mmol) of triazabicyclodec-ene-yl-1-dimethyl-  
silylchloride in 50 mL of THF at  $-70^{\circ}\text{C}$ . The yellowish  
solution was stirred at ambient temperature for 16 hours  
after which the solvent was removed under vacuum. The  
20 product was extracted into pentane, filtrated and cooled  
to  $-30^{\circ}\text{C}$  for 16 hours. The product precipitated as  
yellowish transparent crystals which were filtrated and  
dried in a vacuum. Yield 1.1 g (73.8%). The EIMS  
analysis showed the decomposition pattern of the parent  
25 ion of the title compound  $C_{22}H_{41}N_3OSi_2$   $M^+=361.56$  g mol $^{-1}$ ,  
fragmentation peaks at 346, 196, 165 and 138.  $^1\text{H}$ -NMR in  
 $\text{CDCl}_3$   $\delta$ : 7.90 (d, 2H), 7.58 (d, 2H), 7.38 (dd 2H) 7.30  
(dd, 2H), 4.95 (s, 1H) 3.22 (t, 4H) 3.19 (t, 4H) 1.91  
(q, 4H) -0.1 (s, 6H).  $^{13}\text{C}$ -NMR in  $\text{CDCl}_3$   $\delta$ : 161.3, 146.3,  
30 140.7, 125.7, 124.7, 124.3, 119.5, 48.3, 43.2, 42.7,  
23.8, -2.5.

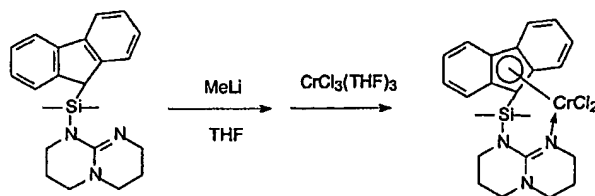


- 32 -

**Example 10**

**Synthesis of triazabicyclodec-ene-yl-1-dimethylsilyl-fluorenyl chromium dichloride  $C_{22}H_{26}Cl_2CrN_3Si$  (descriptive example)**

5



10

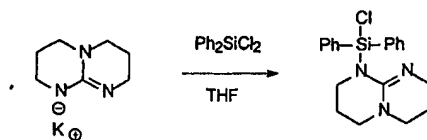
1.7 mL of methyl lithium (2.94 mmol, 1.76 M solution in diethyl ether) was added at  $-30^{\circ}C$  into a solution of triazabicyclodec-ene-yl-1-dimethylsilyl-fluorene 1.1 g (2.94 mmol) in 50 mL of THF. The solution became bright neon yellow and yellowish precipitate formed. The mixture was stirred at ambient temperature for 16 hours. Then  $CrCl_3(THF)_3$  (2.94 mmol) dissolved in 50 mL THF was added into the solution at  $-30^{\circ}C$  and the mixture stirred at ambient temperature for 16 hours. Solvents were removed under a vacuum and the product extracted in toluene, filtered and evaporated. The paramagnetic product was purified by washing with cold pentane and evaporated.

20

**Example 11**

**Synthesis of triazabicyclodec-ene-yl-1-diphenylsilylchloride  $C_{19}H_{22}ClN_3Si$**

30



1.5.7-Triaza[4.4.0]bicyclo-dec-5-enyl potassium 3.0 g (16.9 mmol) dissolved in 50 mL of THF was added into a solution of 21 mL (101.5 mmol) of  $Ph_2SiCl_2$  in 50 mL THF over 3 hours at ambient temperature. Colour changed from

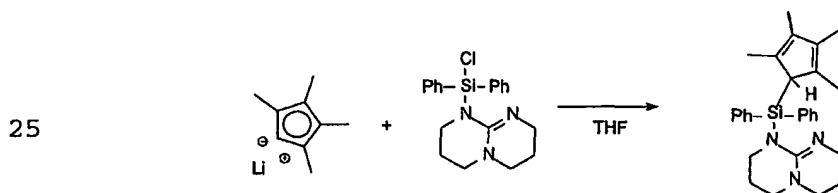
35

- 33 -

whitish to light yellow. The solution was stirred for 2 hours at ambient temperature after which the mixture containing a gelish precipitate of KCl was filtrated and washed with 2x30 mL of THF. Solvents were removed under vacuum to obtain a slightly viscous liquid. Then 50 mL of pentane was added to the filtrate and the precipitated product separated by filtration and washed with 2x30 mL of more pentane. Yield 5.2 g (87.3%) of white solid.  $^1\text{H-NMR}$   $\text{CDCl}_3$   $\delta$ : 7.52 (dd, 4H), 7.34 (d, 2H), 7.32 (d, 4H), 3.25 (m, 4H), 3.15 (t, 4H), 1.96 (q, 4H).  $^{13}\text{C-NMR}$   $\text{CDCl}_3$   $\delta$ : 155.1, 141.0, 134.0, 128.3, 127.2, 46.6, 38.8, 23.2. The EIMS analysis showed the decomposition pattern of the parent ion of the title compound  $\text{C}_{19}\text{H}_{22}\text{ClN}_3\text{Si}$   $M^+=355.94 \text{ g mol}^{-1}$ , frgmentation peaks at 320, 278 and 138. Elemental analysis calc. C 64.11%, H 6.23%, N 11.81%, Cl 9.96%, Si 7.89%; Found C 63.89%, H 6.20%, N 11.97%, Cl 9.90%, Si 7.74%.

**Example 12**

**Synthesis of triazabicyclodec-ene-yl-1-diphenylsilyl-tetramethylcyclopentadiene  $\text{C}_{28}\text{H}_{35}\text{N}_3\text{Si}$**



Tetramethylcyclopentadienyl lithium 1.04 g (8.15 mmol) (prepared from tetramethylcyclopentadiene via treatment of *n*-butyllithium) dissolved in 50 mL THF was added into a solution of triazabicyclodec-ene-yl-1-diphenylsilylchloride in 50 mL of THF over 20 minutes at  $-30^\circ\text{C}$ . The mixture was stirred at ambient temperature for 16 hours, after which the solvents were removed under vacuum, the product extracted in pentane, filtered and evaporated. Yield 2.2g (61.1%) of white solid. The EIMS analysis shows the decomposition pattern of the

- 34 -

parent of the title compound  $C_{28}H_{35}N_3Si$   $M^+$  441.69  $gmol^{-1}$ .

$^1H$ -NMR THF- $d_6$   $\delta$ : 7.54 (d, 4H), 7.22 (m, 4H), 7.20 (d, 4H), 4.21 (s, 1H), 3.17 (t, 4H), 3.03 (t, 4H), 1.83 (s, 6H), 1.75 (m, 4H), 1.42 (s, 6H).  $^{13}C$ -NMR THF- $d_6$   $\delta$ :

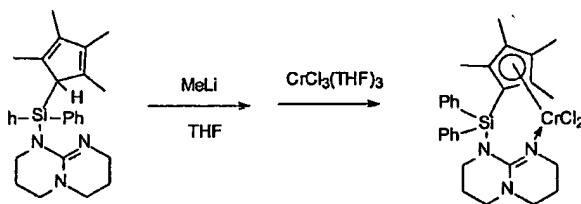
5 151.9, 136.8, 136.7, 136.6, 133.3, 128.9, 126.9, 54.8, 48.6, 43.4, 24.7, 14.5, 11.6. Elemental analysis calc. C 76.14%, H 7.99%, N 9.51%, Si 6.36%; Found C 76.04%, H 8.13%, N 9.54%, Si 6.38%.

### 10 Example 13

**Synthesis of triazabicyclodec-ene-yl-1-diphenylsilyl-tetramethylcyclopentadienyl chromium dichloride**

$C_{28}H_{34}Cl_2CrN_3Si$

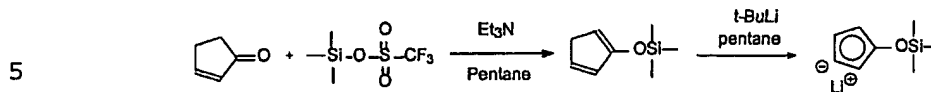
15



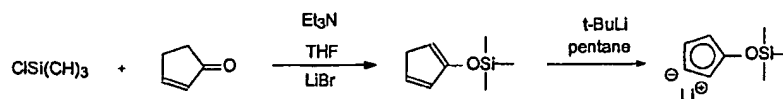
20

4.6 mL of methyl lithium (8.15 mmol, 1.76 M solution in diethyl ether) was added into a solution of triazabicyclodec-ene-yl-1-diphenylsilyl-tetramethylcyclopentadiene in 50 mL of THF at  $-30^\circ C$ . The solution was stirred at ambient temperature for 16 hours. A whitish precipitate formed. Then  $CrCl_3(THF)_3$  dissolved in 50 mL of THF (8.15 mmol) was added over 30 minutes at  $-30^\circ C$ , and the mixture stirred 16 hours at ambient temperature. The solvent was removed under vacuum and the product extracted in toluene, filtered and evaporated. EIMS  $M^+$  = 563.59  $gmol^{-1}$ . Elemental analysis calc. C 59.67%, H 6.08%, N 7.46%, Cl 12.58%, Si 4.98%, Cr 9.23%; Found C 59.42%, H 6.23%, N 7.52%, Cl 12.82%, Si 5.05%, Cr 9.35%.

30

**Example 14****Synthesis of trimethylsiloxycyclopentadiene****Scheme 1.**

9.1 g (111.0 mmol) of cyclopent-2-en-1-one (Fluka  
 10 29827), 11.31 g (111.8 mmol) of triethylamine dried with  
 molecular sieves and 300 mL of dry pentane are mixed at  
 room temperature. Over 13 minutes 24.75g (111.4 mmol) of  
 trimethylsilyl-trifluoromethylsulfonate (Fluka 91741) is  
 added to the mixture. After stirring for 2.5 hour the  
 15 supernatant pentane fraction is separated, solvent  
 removed under reduced pressure and the remainder  
 distilled under reduced pressure resulting in an isomer  
 mixture of trimethylsiloxycyclopentadienes. The product  
 is characterised by  $^1\text{H-NMR}$  and  $\text{GC/MS}^+$ .  
 20 Trimethylsiloxycyclopentadienyl lithium is prepared as  
 described in the last section of example 3 by using  $t\text{-BuLi}$ .  
 $\text{LiLi}$ .

**Scheme 2.**

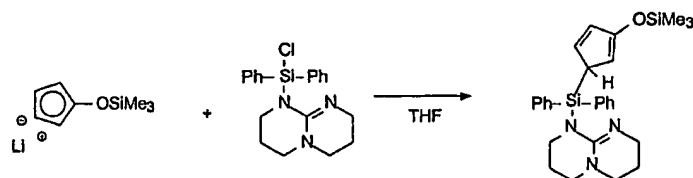
Trimethylsiloxycyclopentadiene can also be synthesised  
 25 according to the description in Acta. Chem.  
 Scandinavica, 43, 1989, 188-92 (Scheme 2). 1.74 g of  
 $\text{LiBr}$  (20 mmol, dried under vacuum at  $400^\circ\text{C}$ ) is dissolved  
 in 5.55 g of THF (77 mmol). At  $-15^\circ\text{C}$ , 1.54 g of  
 chlorotrimethylsilane (15 mmol), 1.23 g of cyclopent-2-  
 en-1-one (15 mmol) and 1.51 g of triethylamine (15 mmol,  
 30 dry) are added to the solution. After 1 hour at  $-15^\circ\text{C}$   
 and 24 hours at  $+40^\circ\text{C}$  the crude product is isolated by  
 low temperature aqueous  $\text{NaCl/NaHCO}_3$  and pentane

- 36 -

extractions. The crude trimethylsiloxycyclopentadienes are purified by distillation under reduced pressure. Trimethylsiloxycyclopentadienyl lithium is prepared as described in the last section of example 3 by using *t*-BuLi.

**Example 15**

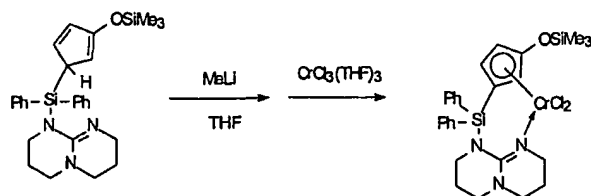
**Synthesis of triazabicyclodec-ene-yl-1-diphenylsilyl-trimethylsiloxycyclopentadiene**  $C_{27}H_{35}N_3OSi_2$



Trimethylsiloxycyclopentadienyl lithium (8.15 mmol) (prepared from trimethylsiloxycyclopentadiene via treatment of *t*-butyllithium) dissolved in 50 mL THF is added into a solution of triazabicyclodec-ene-yl-1-diphenylsilylchloride in 50 mL of THF over 20 minutes at  $-30^{\circ}\text{C}$ . The mixture is stirred at ambient temperature for 16 hours, after which the solvents are removed under vacuum, the product extracted in pentane, filtered and the major kinetically formed isomer with 1,3-substitution pattern of the siloxy and the bridge substituents on the Cp ring is obtained via recrystallization from cold pentane.

**Example 16**

**Synthesis of triazabicyclodec-ene-yl-1-diphenylsilyl-trimethylsiloxycyclopentadienyl chromium dichloride**  $C_{27}H_{34}Cl_2CrN_3OSi_2$

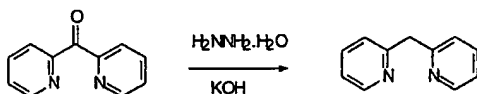


- 37 -

Methyl lithium (8.15 mmol, 1.76 M solution in diethyl ether) is added into a solution of triazabicyclodec-ene-yl-1-diphenylsilyl-tetramethylcyclopentadiene in 50 mL of THF at -30°C. The solution is stirred at ambient  
5 temperature for 16 hours. A whitish precipitate forms. Then  $\text{CrCl}_3(\text{THF})_3$  dissolved in 50 mL of THF (8.15 mmol) is added over 30 minutes at -30°C, and the mixture stirred 16 hours at ambient temperature. The solvent is removed under vacuum and the product extracted in toluene,  
10 filtered and evaporated to give a blue solid product.

**Example 17****Synthesis of dipyridin-2-ylmethane  $\text{C}_{11}\text{H}_{10}\text{N}_2$** 

15

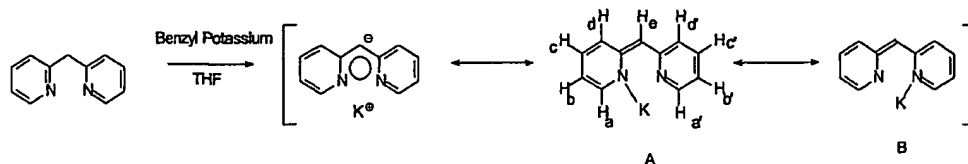


Solid KOH (9.4 g, 167.7 mmol) was dissolved in 15 mL of  
20 distilled water and poured into an autoclave reactor (Parr pressure reactor) under nitrogen atmosphere. Di(2-pyridyl) ketone (15.0 g, 81.4 mmol) was weighed and introduced into the reactor. Hydrazine monohydrate (6.1 mL, 185.7 mmol) was poured into the reaction  
25 mixture and the reactor closed. The reactor was mounted on a Parr heater unit and the reaction mixture stirred for 18h at 150°C at 28 bar. After 1 hour the temperature was 150°C and the pressure 5 bar. After 18h heating and stirring the temperature was 151°C and the pressure  
30 inside the reactor 28 bar. After the reaction was complete, the cooled reactor was opened at air atmosphere and the yellowish liquid obtained neutralized with 1M HCl (aq.) and extracted with 3x50 mL chloroform. Organic phase was washed with 3x30 mL of brine and dried  
35 over  $\text{MgSO}_4$ . Solvents were removed in a vacuum and the crude product distilled in a vacuum to obtain a yellow liquid with b.p. 80-85°C/0.06 mbar. Yield: 9.8 g (72%).

- 38 -

$^1\text{H}$ -NMR in  $\text{CDCl}_3$ ;  $\delta$ : 8.48 (m, 2H); 7.51 (m, 2H); 7.19 (t, 2H); 7.04 (m, 2H); 4.29 (s, 2H). EIMS analysis showed parent ion of the title compound  $\text{C}_{11}\text{H}_{10}\text{N}_2$  corresponding to molecular weight  $M^+=170.21 \text{ g mol}^{-1}$ .

5

**Example 18****Synthesis of dipyridin-methan-2-yl-potassium  $\text{C}_{11}\text{H}_9\text{KN}_2$** 

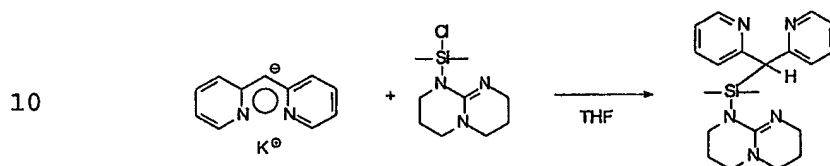
Benzyl potassium 4.0 g (30.5 mmol) was added into a solution of dipyridin-2-ylmethane 5.2 g (30.5 mmol) in 100 mL THF at  $-70^\circ\text{C}$  over 10 minutes. The mixture was stirred at ambient temperature for 16 hours after which the bright yellow mixture was filtrated and washed with THF. Solvents were evaporated and the product washed with pentane and evaporated to yield a bright yellow solid which on the basis of the  $^1\text{H}$ -NMR spectrum in  $\text{DMSO-d}_6$  was  $[\text{DPM}^-\text{K}^+][\text{THF}]_{0.5}$ . Yield 5.44 g (73.0%) based the THF complex ( $M_w=244.35 \text{ g mol}^{-1}$ ). The product decomposed during EIMS analysis. Elemental analysis calculated for  $[\text{DPM}^-\text{K}^+][\text{THF}]_{0.5}$ : C 64.6%, H 4.8%, N 12.0%, K 21.9%. Found: C 63.9%, H 5.36%, N 11.46%, K 16.0%. NMR spectra were recorded at  $+22.7^\circ\text{C}$ ,  $+50^\circ\text{C}$  and at  $+70^\circ\text{C}$  in  $\text{DMSO-d}_6$ . The complex shows fluxional behaviour in NMR with increasing temperature which is caused by the transition between the two resonance structures A and B. The peaks coalescence at  $+70^\circ\text{C}$ . The singlet bridgehead proton is exchanged slowly with the methyl deuterium of  $\text{DMSO-d}_6$  which is seen as an appearing triplet in  $^{13}\text{C}$ -NMR.  $^1\text{H}$ -NMR in  $\text{DMSO-d}_6$  at  $+70^\circ\text{C}$   $\delta$ : 8.20 and 6.25 (broad coalescence peaks, 2H, from  $\text{H}_a\text{-H}_a$ ), 7.65 (d, 2H, from  $\text{H}_d\text{-H}_d$ ), 6.78 (broad, 2H, from  $\text{H}_b\text{-H}_b$ ), 5.76 (broad, 2 H, from  $\text{H}_c\text{-H}_c$ ), 4.62 (s, 1H, exchangeable proton  $\text{H}_e$ ).  $^{13}\text{C}$ -NMR in  $\text{DMSO-d}_6$  at  $\delta$ : 160.2, 147.9, 147.8, 132.3, 131.2, 116.9, 114.3,

- 39 -

105.3, 103.3, triplet 87.2 from exchanged  $\text{CH}_3\text{-DMSO-}d_6$ .  $^{13}\text{C}$ -CPMAS  $\delta$ : 163, 150, 138, 119, 109, 82.

**Example 19**

5 **Synthesis of triazabicyclodec-ene-yl-1-dimethylsilyl-dipyridin-methane  $\text{C}_{20}\text{H}_{27}\text{N}_5\text{Si}$**



Dipyridin-methan-2-yl-potassium 2.62 g (12.6 mmol)  
 15 dissolved in 100 mL THF of was added into a solution of  
 triazabicyclodec-ene-yl-1-dimethylsilylchloride 2.90 g  
 (12.6 mmol) in 100 mL of THF over 40 minutes at  $-70^\circ\text{C}$ .  
 The mixture was stirred at ambient temperature for 16  
 20 hours. Solvent was evaporated under vacuum, the product  
 extracted in toluene, filtrated and washed with more  
 toluene. Solvent was removed under vacuum and the  
 product grinded in glove box. The product was purified  
 by washing with cold pentane. Pentane solubles were  
 25 filtered off and the product evaporated under vacuum.  
 Yield 3.7 g (80.0%) of yellow solid. EIMS analysis  
 showed the decomposition pattern of parent ion of the  
 title compound  $\text{C}_{20}\text{H}_{27}\text{N}_5\text{Si}$  corresponding to molecular  
 weight  $M^+ = 365.55 \text{ g mol}^{-1}$ , fragmentation peaks at 335,  
 258, 196, 169, 138.  $^1\text{H-NMR}$  in  $\text{THF-}d_8$   $\delta$ : 8.45 (d, 2H),  
 30 7.45 (dd, 2H), 7.44 (d, 2H) 6.99 (t, 2H). 4.92 (s, 1H),  
 3.06 (t, 4H), 2.86 (t, 4H), 1.61 (q, 4H), 0.16 (s, 6H).  
 Elemental analysis calculated: C 65.7%, H 7.44%, N  
 19.2%. found: C 63.8%, H 6.8%, N 16.6%.

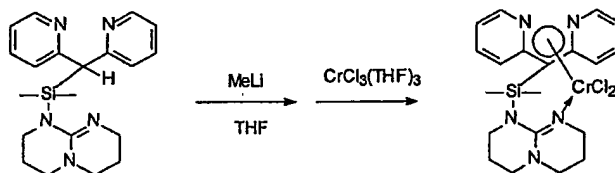
35



**Example 20**

**Synthesis of triazabicyclodec-ene-yl-1-dimethylsilyl dipyridylmethan-yl chromium dichloride  $C_{20}H_{28}Cl_2CrN_5Si$**

5

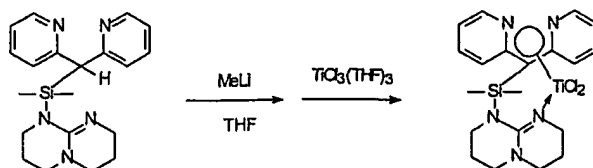


10 1.9 mL methyl lithium (3.7 mmol, 1.94 M solution in diethyl ether) was added into a solution of triazabicyclodec-ene-yl-1-dimethylsilyl dipyridylmethane 1.33 g (3.6 mmol) dissolved in 100 mL THF at  $-30^{\circ}C$  over 5 minutes. The mixture was stirred at ambient  
15 temperature for 2 hours.  $CrCl_3(THF)_3$  (3.6 mmol) was added at  $-30^{\circ}C$  over 30 minutes and the solution stirred at ambient temperature over 16 hours. Solvents were removed under vacuum, the product extracted in toluene, filtered and evaporated. The product was purified by washing with  
20 cold dichloromethane and pentane. Yield 0.2 g (25.5%) of dark brown tar. The product decomposed during EIMS analysis. The product was paramagnetic, NMR identification could not be obtained.

**Example 21**

**Synthesis of triazabicyclodec-ene-yl-1-dimethylsilyl dipyridylmethan-yl chromium dichloride  $C_{20}H_{28}Cl_2TiN_5Si$**

30



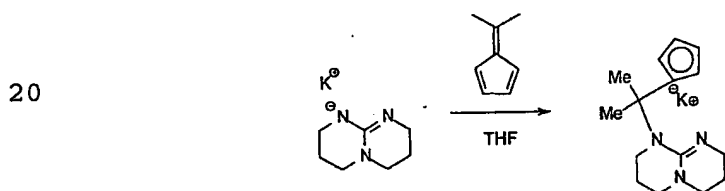
35 0.8 mL methyl lithium (1.64 mmol, 1.94 M solution in diethyl ether) was added into a solution of triazabicyclodec-ene-yl-1-dimethylsilyl dipyridylmethane

- 41 -

0.60 g (1.64 mmol) dissolved in 70 mL THF at -30°C over 5 minutes. The mixture was stirred at ambient temperature for 16 hours.  $\text{TiCl}_3(\text{THF})_3$  (1.64 mmol) was added at -70°C over 20 minutes and the solution stirred at ambient temperature over 3 hours. Solvents were removed under vacuum, the product extracted in toluene, filtered and evaporated. The product was purified by washing with cold dichloromethane and pentane. Yield 0.5g (64.9%) of dark brown tar. The product decomposed during EIMS analysis. The product was paramagnetic, NMR identification could not be obtained.

**Example 22.**

Dimethylmethylene cyclopentadienyl triazabicyclodec-ene-yl-1 potassium  $\text{C}_{15}\text{H}_{22}\text{KN}_3$



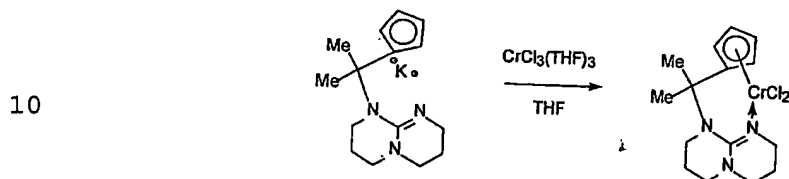
25 Dimethylfulvene 1.8 mL (14.7 mmol) was added to (1.5.7-triaza[4.4.0]bicyclo-dec-5-enyl) potassium 2.6g (14.7 mmol) in THF at 0°C over one hour. The mixture was stirred at ambient temperature overnight, and the solvent removed under vacuum. The product was washed with pentane and dried under vacuum to afford 3.2g (79.05%) of light grey solid.  $^1\text{H-NMR}$  in THF  $\delta$ : 5.92 (dd, 2H), 5.65 (dd, 2H), 3.10 (t, 4H), 3.04 (t, 4H), 3.81 (s, 6H), 3.61 (m, 4H).  $^{13}\text{C-NMR}$  in THF  $\delta$ : 151.0, 142.4, 121.9, 105.8, 102.9, 48.2, 41.8, 23.6, 22.2. MS analysis showed the decomposition pattern of the parent title compound. No  $\text{M}^+$  was detected due to the decomposition of the sample during the analysis. Elemental analysis

- 42 -

calculated C 63.56% H 7.82% N 14.82% K 13.79%, found C 63.36% H 7.75% N 15.07% K 13.55%.

**Example 23**

5 **Dimethylmethylene cyclopentadienyl triazabicyclodec-ene-yl-1 chromium dichloride**  $C_{15}H_{22}Cl_2CrN_3$ .



15 Dimethylmethylene cyclopentadienyl triazabicyclodec-ene-yl-1 potassium  $CrCl_3(THF)_3$ , 3.4 g (9.08 mmol) in THF was added to a solution of triazabicyclodec-ene-yl-1-dimethyl-methylene potassium 2.6 g (9.08 mmol) in THF at  $-30^{\circ}C$  over a period of 30 minutes. The mixture was stirred overnight at ambient temperature. Solvent was removed under reduced pressure and the product extracted in toluene, filtrated and dried under vacuum. The filtrate was then extracted in dichloromethane, filtrated, and dried again under vacuum. The product was washed with pentane to afford pure dark blue microcrystalline solid 2.3 g (68.7%). EIMS analysis showed the decomposition pattern of the title compound,  $M^+=367.26$  g  $mol^{-1}$  peak was not detected due to decomposition during analysis. Elemental analysis calculated C 49.06% H 6.04% N 11.44% Cl 19.31% Cr 14.16%, found C 47.81% H 6.06% N 13.05% Cl 18.80% Cr 13.60%.

20

25

30

**Polymerization examples**

35 **Table 1 - Ethylene homopolymerization test results using homogeneous catalysts**

- 43 -

Complex	Act. HOPO	$M_w$ $\times 10^3$	$M_w/M_n$	Cryst. %	m.p. °C	Al/M
<b>Example 6</b> $\text{Me}_2\text{Si}(\text{Me}_2\text{Cp-OSiMe}_2\text{-}i\text{Bu})(\text{TAB})\text{CrCl}_2$	2778	n.d.	n.d.	53.6	134.3	757
<b>Example 8</b> $\text{Me}_2\text{Si}(\text{Cp-OSi-}i\text{Pr}_2)(\text{TAB})\text{CrCl}_2$	395	977	30.2	57.1	135.1	778
<b>Example 13</b> $\text{Ph}_2\text{Si}(\text{Me}_4\text{Cp})(\text{TAB})\text{CrCl}_2$	1048	412	27.8	66.7	133.2	1000
<b>Example 10</b> $\text{Me}_2\text{Si}(\text{Flu})(\text{TAB})\text{CrCl}_2$	50	272	6.6	58.9	134.2	1000

<sup>1</sup> Al/M ratio 700-1000, MAO, temp+60°C,  $C_2$ = 10 bar. n.d. = not determined (too high  $M_w$  for GPC measurement device). Activity in [kg PE/g met, h<sup>-1</sup>]

**Table 2 - Ethylene homopolymerization using homogeneous catalysts and hydrogen**

Complex	Act. HOPO/ $H_2$	$M_w$ $\times 10^3$	$M_w/M_n$	Cryst. %	m.p. °C	Al/M
<b>Example 6</b> $\text{Me}_2\text{Si}(\text{Me}_2\text{Cp-OSiMe}_2\text{-}i\text{Bu})(\text{TAB})\text{CrCl}_2$	1902 <sup>1</sup>	198	7.4	71.1	132.5	1000
<b>Example 13</b> $\text{Ph}_2\text{Si}(\text{Me}_4\text{Cp})(\text{TAB})\text{CrCl}_2$	2628.1	-	-	-	-	-
<b>Example 8</b> $\text{Me}_2\text{Si}(\text{Cp-OSi-}i\text{Pr}_2)(\text{TAB})\text{CrCl}_2$	486	192	8.1	67.3	135.7	763

<sup>1</sup> Amount of hydrogen fed to the 2 L reactor was 0.6 bar/677 mL <sup>2</sup> Amount of hydrogen fed to the 2 L reactor was 0.3 bar/677 mL. n.d. = not determined (too high  $M_w$  for GPC measurement device). Activity in [kg PE/g met. h<sup>-1</sup>]. Temp. +60°C.

**Table 3 - Copolymerization of ethylene and 1-hexene using homogeneous catalysts**

Complex	Act. COPO
<b>Example 13</b> $\text{Ph}_2\text{Si}(\text{Me}_4\text{Cp})(\text{TAB})\text{CrCl}_2$	2713.5
<b>Example 23</b> $\text{Me}_2\text{C}(\text{Cp})(\text{TAB})\text{CrCl}_2$	91.62

Activity in [kg PE/g met. h<sup>-1</sup>]

- 44 -

Table 4 - Polymer double bond analysis results of the ethylene homopolymers<sup>#</sup>

	Complex	t-vinylene	vinyl	vinylidene
5	Example 6 Me <sub>2</sub> Si (Me <sub>2</sub> Cp-OSiMe <sub>2</sub> -tBu) (TAB)CrCl <sub>2</sub>	0.11	0.09	0.03
	Example 8 Me <sub>2</sub> Si (Cp-OSi-iPr <sub>3</sub> ) (TAB)CrCl <sub>2</sub>	n.d.	n.d.	n.d.
10	Example 13 Ph <sub>2</sub> Si (Me <sub>4</sub> Cp) (TAB)CrCl <sub>2</sub>	0.21	0.71	0.12
15	Example 10 Me <sub>2</sub> Si (Flu) (TAB)CrCl <sub>2</sub>	0	0.18	0.05

<sup>#</sup> Unit is double bonds per 1000 carbon atoms (C=C/1000C).

Table 5. Propylene polymerisations. Al/Cr ratio 1000,  
polymerisation time 90 minutes. 5 bar propylene pressure  
(60°C). Cocatalyst MAO & TIBA (tetraisobutylalumoxane)  
150μL, 1100 g propylene.

	Complex	Yield of Polymer	Notes
25	Example 6	0.7 g	
	Example 6	1.4	6 g Ethylene added

Table 6. Analysis results of the polymers

Code	Run Type	DSC (%)	DSC, TCR1 (°C)	TM1 (°C)	MN (g/mol)	MW (g/mol)	MW/M N	MZ (g/mol)	MFR21	T-VINYL	VINYL	VINYLIIDE N
8	HOP060	57.1	115.9	135.1	32300	977000	30.2	6302000	0.03			
8	HOP080	64	116.2	129.9					not done	0.16	1.46	0.6
8	HOP0/H260	67.3	116.3	135.7	23600	192000	8.1	1392000	14.5	0	0.27	0.1
6	HOP060	53.6	115.9	134.3					0.008	0.11	0.09	0.0
6	HOP080	72.3	118.2	131.3					28.4	0.84	0.68	0.2
6	HOP0/H260	71.1	119	132.5	26900	198000	7.4	3553000	42.5	0.06	0.09	0.0

Heterogeneous polymerisation test result of compound 20 using silica

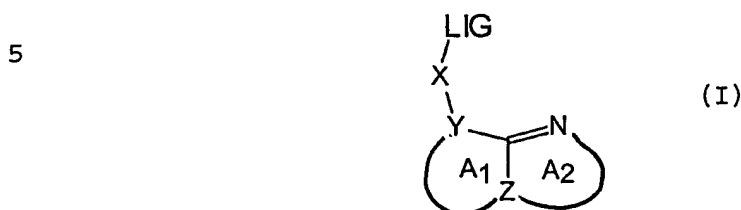
Pol type	Activity of cat (KgPol/g cat h)	Activity of metal (KgPol/g met h)	AI/Me	Flowmeter C2 (g)	Temperature (°C)	CR1 (%)	DSC TCR1 (°C)	TM1 (°C)
HDPE	0.01	4.6	200	28	60	49.1	119.9	113.4
HDPE	0.00	4.3	200	24	80	51.3	120	132.5

	GPC-Normal			MFR		FTIR, (C=C/1000C)	
AN1	MW1	MW1/MN1	MZ1	21.6KG	T-VINYLENE	VINYL	VINYLIIDE
204600	1899000	9.3	6079000	not done	X	X	X
19000	1742000	91.7	9030000	not done	X	X	X

- 46 -

## Claims

1. A compound of formula (I)



wherein

10 LIG represents an  $\eta^5$ -ligand substituted by a group  $R_1$  and a group  $(R'')_m$ ;

X represents a 1 to 3 atom bridge;

Y represents a nitrogen or phosphorus atom;

15 Z represents a carbon, silicon, nitrogen or phosphorus atom;

the ring denoted by  $A_1$  is an optionally substituted, optionally saturated or unsaturated 5 to 12 membered heterocyclic ring;

20 the ring denoted by  $A_2$  is an optionally substituted, unsaturated 5 to 12 membered heterocyclic ring;

$R_1$  represents hydrogen,  $R''$  or a group  $OSiR'_3$ ;

25 each  $R'$ , which may be the same or different is a  $R^+$ ,  $OR^+$ ,  $SR^+$ ,  $NR^+_2$  or  $PR^+_2$  group where each  $R^+$  is a  $C_{1-16}$  hydrocarbyl group, a tri- $C_{1-8}$ hydrocarbylsilyl group or a tri- $C_{1-8}$ hydrocarbylsiloxy group;

each  $R''$ , which may be the same or different is a ring substituent which does not form a  $\sigma$ -bond to a metal  $\eta$ -bonded by the bicyclic ring; and

m is zero or an integer between 1 and 3.

30

2. A compound as claimed in claim 1 wherein Z represents a nitrogen atom.

35 3. A compound as claimed in claim 1 or 2 wherein Y represents a nitrogen atom.

4. A compound as claimed in any one of claims 1 to 3

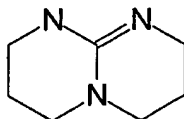
- 47 -

wherein  $A_1$  and  $A_2$  are five or six-membered rings.

5 5. A compound as claimed in any one of claims 1 to 4 wherein  $A_1$  and  $A_2$  are unsubstituted and comprise only 1 double bond.

6. A compound as claimed in any one of claims 1 to 5 wherein  $A_1$  and  $A_2$  represent

10



15 7. A compound as claimed in any one of claims 1 to 6 wherein LIG comprises a dipyridymethanyl, cyclopentadienyl, fluorenyl or indenyl ligand.

20 8. A compound as claimed in any one of claims 1 to 7 wherein  $R''$  represents hydrogen,  $R^+$ ,  $OR^+$ ,  $SR^+$ ,  $NR_2^+$  or  $PR_2^+$  group where each  $R^+$  is a  $C_{1-16}$  hydrocarbyl group, a tri- $C_{1-8}$  hydrocarbylsilyl group or a tri- $C_{1-8}$ hydrocarbylsiloxy group.

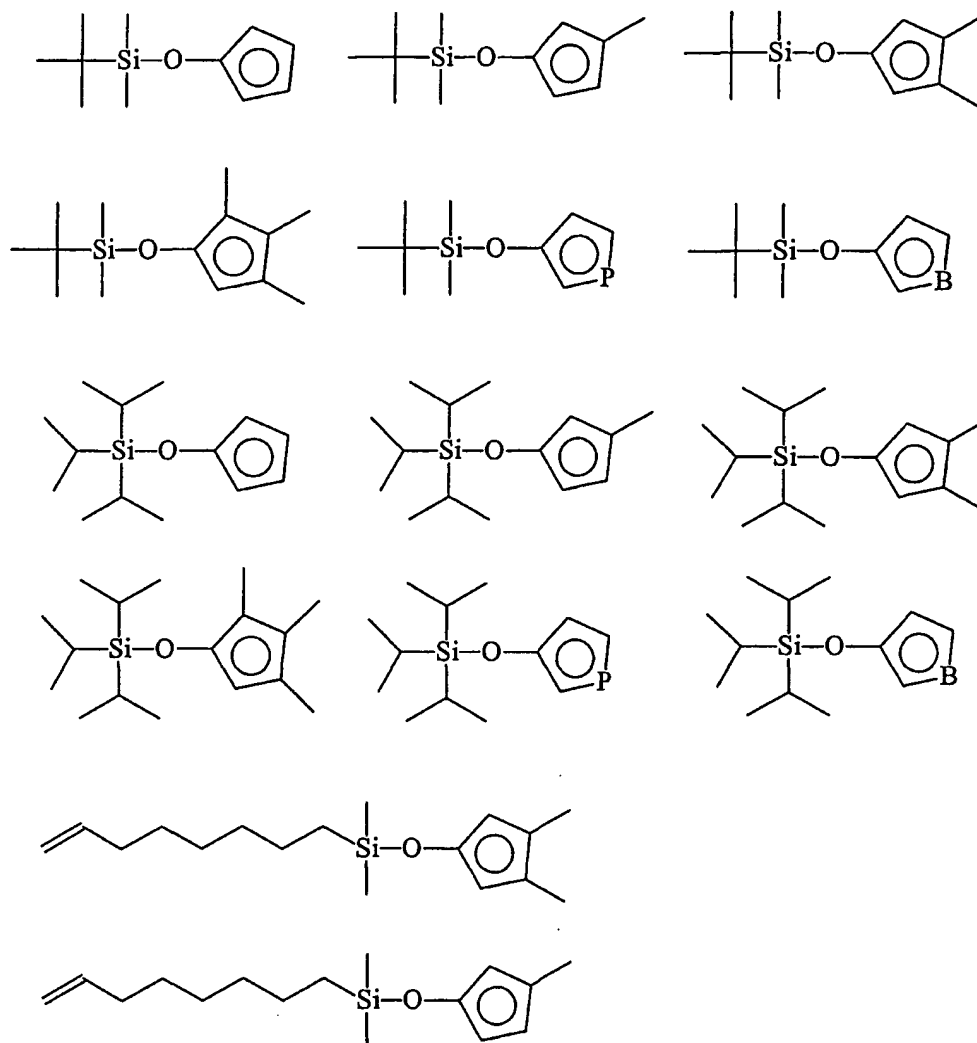
25 9. A compound as claimed in any one of claims 7 or 8 wherein LIG represents cyclopentadienyl and  $R_1$  represents  $OSiR'_3$  each  $R'$  being a  $C_{1-12}$  hydrocarbyl group.

10. A compound as claimed in claim 9 wherein  $R''$  represents  $C_{1-6}$  alkyl.

30 11. A compound as claimed in claim 1 wherein LIG represents

35





12. A compound as claimed in any one of claims 1 to 10  
 wherein m is 3, LIG is a cyclopentadienyl and R" is  
 5 methyl.

13. A compound as claimed in any one of claims 1 to 12  
 wherein X is a one atom bridge comprising Si or a one  
 atom bridge comprising a carbon atom.

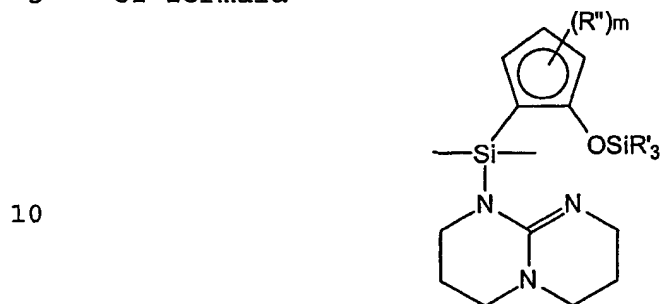
10

14. A compound as claimed in any one of claims 1 to 7

- 49 -

wherein LIG represents fluorenyl, indenyl or  
dipyridymethanyl and R' and R'' represent hydrogen.

15. A compound as claimed in any one of claims 1 to 13  
5 of formula



wherein R', m and R'' are as hereinbefore defined.

16. A procatalyst comprising a compound of formula (I)  
as claimed in any one of claims 1 to 11 coordinated to a  
metal ion of group 3 to 7, the Y atom not being  
coordinated to the metal.

20

17. A procatalyst as claimed in claim 16 wherein said  
metal ion is an ion of Cr or Ti.

18. A procatalyst as claimed in claim 16 or 17 wherein  
25 said metal is additionally coordinated to a halogen  $\sigma$ -  
ligand.

19. A procatalyst as claimed in any one of claims 16 to  
18 wherein at least one of the atoms N or Z or the  
30 double bond of the bicyclic ring is coordinated to the  
metal ion.

20. A procatalyst as claimed in claim 19 wherein the  
group LIG and the atom N are coordinated to the metal  
35 ion.

21. An olefin polymerisation catalyst system comprising

- 50 -

or produced by reaction of (1) a procatalyst as claimed in claims 16 to 20 and (2) a cocatalyst.

22. A process for olefin polymerisation comprising polymerising an olefin in the presence of a catalyst system as described in claim 21.

23. A process for the preparation of a procatalyst, said process comprising metallating with a group 3 to 7 transition metal a compound of formula (I)



wherein LIG, X, Y, Z and rings A<sub>1</sub> and A<sub>2</sub> are as hereinbefore defined.

24. The use of a procatalyst as claimed in any one of claims 16 to 20 in olefin polymerisation.

25. An olefin polymer produced by a polymerisation catalysed by a procatalyst compound as claimed in any one of claims 16 to 20.

# INTERNATIONAL SEARCH REPORT

Int onal Application No

PLI/GB 01/03757

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 C07F7/18 C07F17/00 C08F10/00 C08F4/69

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C07F C08F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, CHEM ABS Data

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 98 40420 A (EASTMAN CHEMICAL CO., USA) 17 September 1998 (1998-09-17) page 65	1-25
X	<p>-----</p> <p>DATABASE CA 'Online! CHEMICAL ABSTRACTS SERVICE, COLUMBUS, OHIO, US; CHEN, SHI-CHOW: "The syntheses and mass spectra of some N-substituted ferrocenylmethyl adenines" retrieved from STN Database accession no. 94:121682 XP002181981 abstract &amp; J. ORGANOMET. CHEM. (1980), 202(2), 183-9 ,</p> <p>----- -/--</p>	1



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

### \* Special categories of cited documents :

\*A\* document defining the general state of the art which is not considered to be of particular relevance

\*E\* earlier document but published on or after the international filing date

\*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

\*O\* document referring to an oral disclosure, use, exhibition or other means

\*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

\*Z\* document member of the same patent family

Date of the actual completion of the international search

5 November 2001

Date of mailing of the international search report

21/11/2001

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Bader, K

## INTERNATIONAL SEARCH REPORT

In - onal Application No

PCT/GB 01/03757

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	DATABASE CA 'Online! CHEMICAL ABSTRACTS SERVICE, COLUMBUS, OHIO, US; HOULTON, ANDREW ET AL: "Synthesis, structure and redox properties of ferrocenylmethyl nucleobases" retrieved from STN Database accession no. 132:3427 XP002181982 abstract & J. CHEM. SOC., DALTON TRANS. (1999), (18), 3229-3234 ,	1
X	----- DATABASE CA 'Online! CHEMICAL ABSTRACTS SERVICE, COLUMBUS, OHIO, US; NEKRASOV, YU. S. ET AL: "Ferrocenylalkylation of nucleic acid bases" retrieved from STN Database accession no. 128:192751 XP002181983 abstract & ROSS. KHIM. ZH. (1997), 41(4), 117-120 ,	1
E	----- WO 01 70395 A (ANDELL OVE ;KETOLA LASSE (FI); MAARANEN JANNE (FI); BOREALIS TECH) 27 September 2001 (2001-09-27) page 20 -page 24 -----	1-8,14, 16-25

## FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box I.2

Claims Nos.: 1-14,16-25 (all partially not searched)

Present claims relate to an extremely large number of possible compounds. In fact, the claims contain so many options, variables, possible permutations and provisos that a lack of clarity and conciseness within the meaning of Article 6 PCT arises to such an extent as to render a meaningful search of the claims impossible. Consequently, the search has been carried out for those parts of the application which do appear to be clear and concise, namely compounds according to claim 1 wherein the LIG moiety is selected from the list of claim 7 and wherein A1 and A2 are unsubstituted ringsystems, which comprise only one double bond as described in claim 5. (combined teaching of claims 5 and 7)

Also the claims 16-25 have been searched under the aforementioned restrictions only.

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.

# INTERNATIONAL SEARCH REPORT

Information on patent family members

In International Application No

PCT/GB 01/03757

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
WO 9840420	A	17-09-1998	EP 0966490 A2	29-12-1999
			WO 9840420 A2	17-09-1998
			US 6200925 B1	13-03-2001
			US 2001025007 A1	27-09-2001
			CN 1258292 T	28-06-2000
			EP 0975682 A1	02-02-2000
			EP 0973762 A2	26-01-2000
			WO 9847933 A1	29-10-1998
			WO 9840374 A2	17-09-1998
			US 6103658 A	15-08-2000
			US 6245871 B1	12-06-2001
			US 6303720 B1	16-10-2001
			US 2001014646 A1	16-08-2001
<hr/>				
WO 0170395	A	27-09-2001	WO 0170395 A2	27-09-2001
<hr/>				